

**CONVEGNO**  
**“SISMA ED ELEMENTI NON STRUTTURALI**  
**Approcci, Stati Limite e Verifiche Prestazionali”**

Bologna – 24 ottobre 2014

**PROGETTO DI ELEMENTI NON STRUTTURALI**  
**SOGGETTI AD AZIONI SISMICHE**

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*IUSS Pavia*

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Nonstructural components can be classified into three main categories:

- Architectural Components
- Building Utility Systems
- Building Contents

- Architectural Components
  - Built-in nonstructural components that form part of the building.
  - Examples: partitions and ceilings, windows, doors, lighting, interior or exterior ornamentation, exterior panels, veneer, and parapets.

- Architectural Components



Exterior Cladding

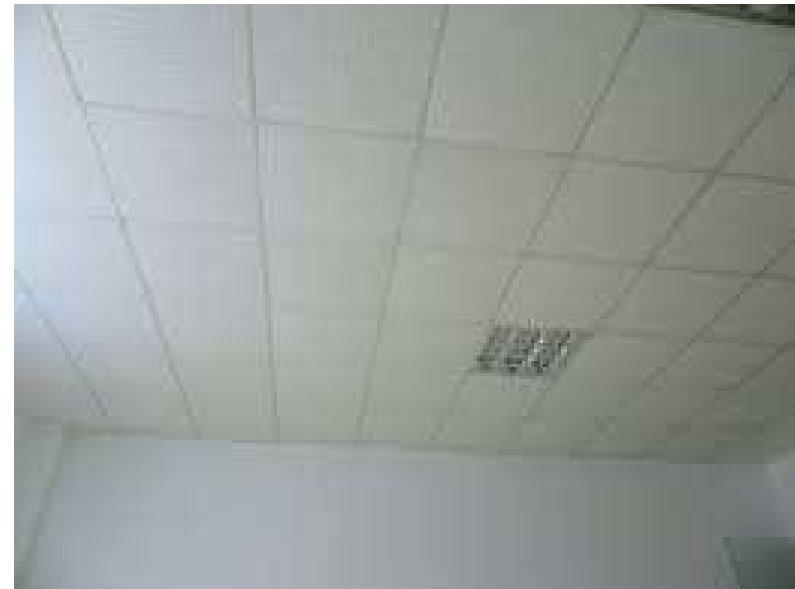


Veneers

- Architectural Components



Gypsum Wallboard Partitions



Ceiling Systems

- Architectural Components



Window Systems



Doors

- Architectural Components



Parapets

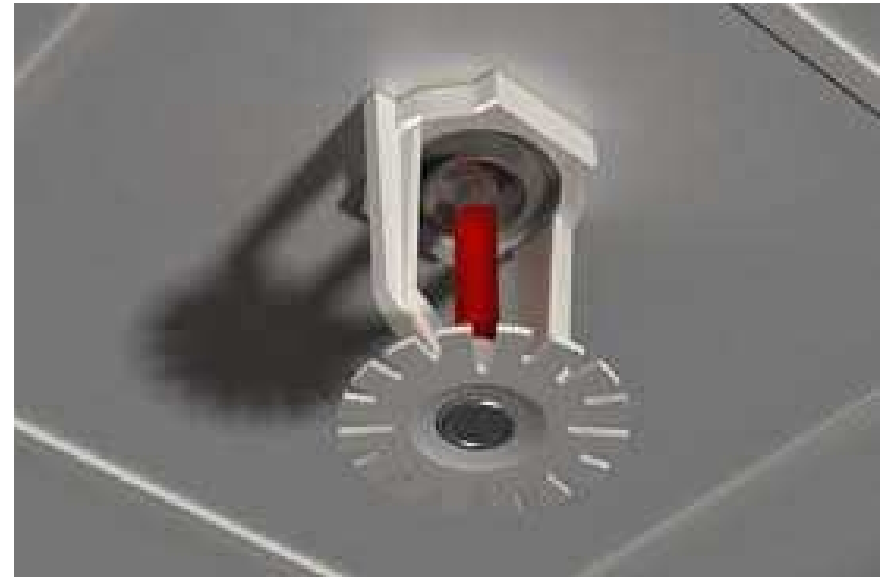
- Building Utility Systems
  - Built-in nonstructural components that form part of the building.
  - Examples: mechanical and electrical equipment and distribution systems, water, gas, electric, and sewerage piping and conduit, fire suppression systems, elevators or escalators, HVAC systems, and roof-mounted solar panels.



- Building Utility Systems



Piping Systems



Pressurized Fire Sprinkler Systems

- Building Utility Systems

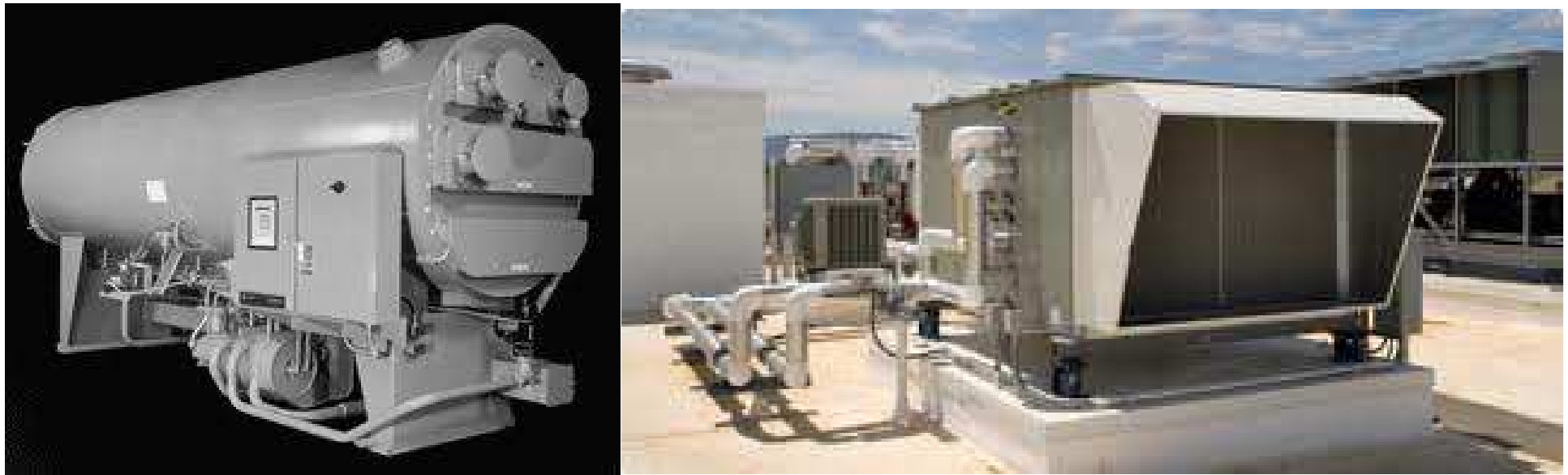


Elevators



Escalators

- Building Utility Systems



HVAC Systems

- Building Contents
  - Nonstructural components belonging to tenants or occupants.
  - Examples: computer and communications equipment; cabinets and shelving for record and supply storage; library stacks; kitchen and laundry facilities; furniture; movable partitions; lockers; and vending machines.
  - Judgment needed to identify critical items in a particular building.

- Building Contents



Computer Equipment



Communication Equipment

- Building Contents



Library Stacks



Kitchen Furniture

- Building Contents



Vending Machines

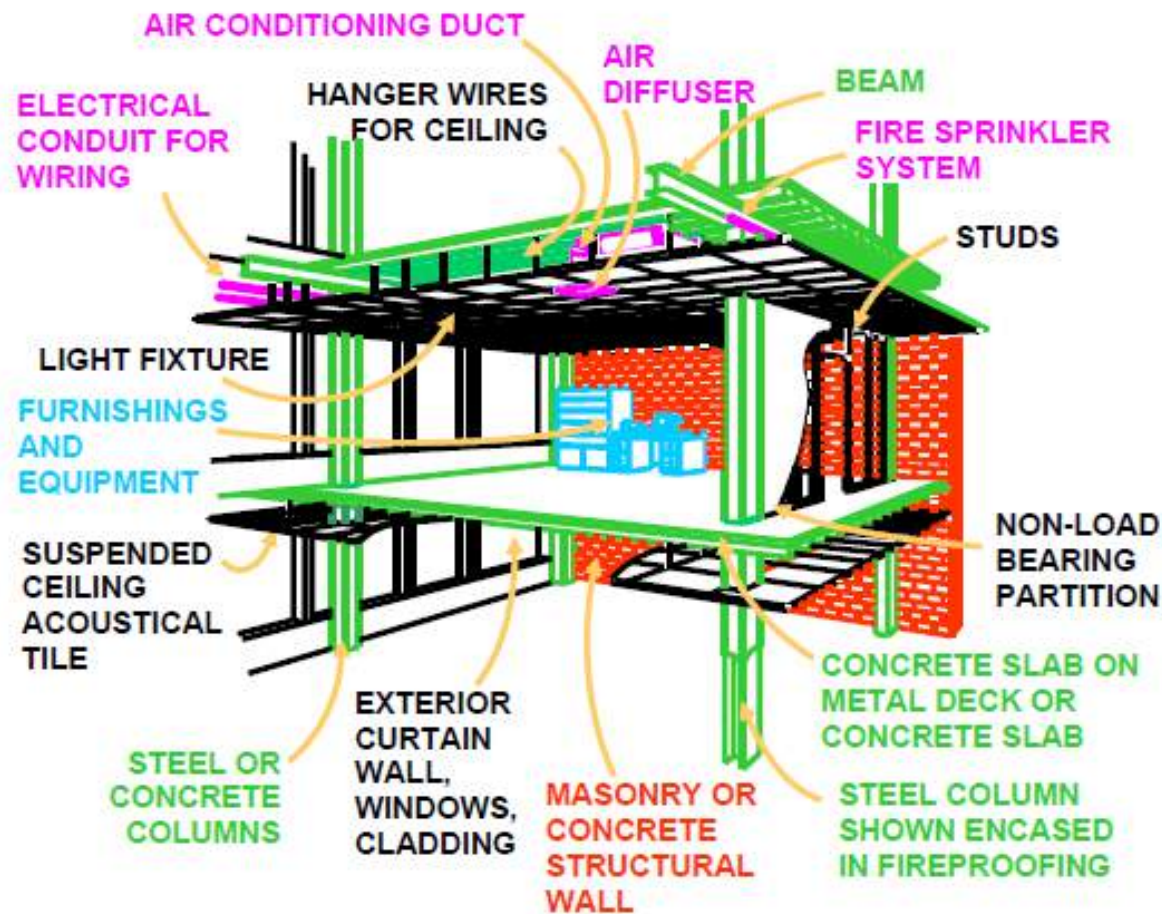
- Building Contents



Cabinets and Shelving



# Classification of Nonstructural Components



**Architectural Components**

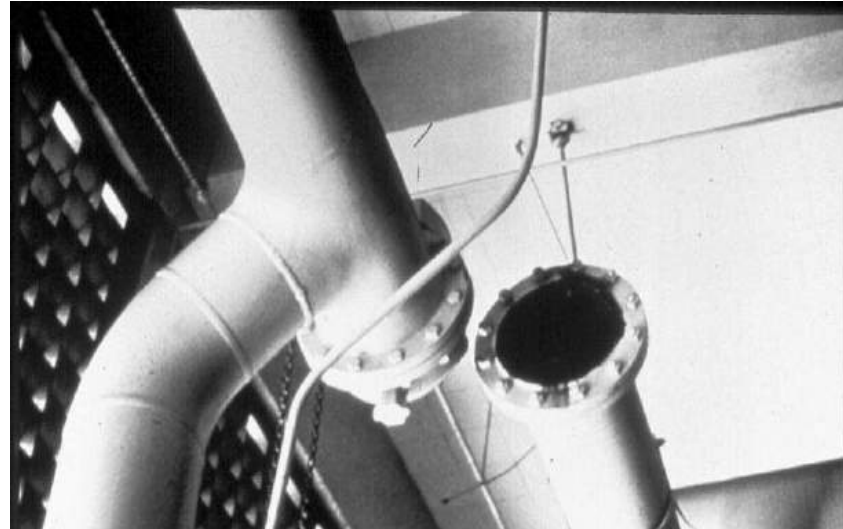
**Building Utility Systems**

**Building Contents**

**Structural Components**

Source: FEMA 74

# HOW DID THEY PERFORM IN PAST EARTHQUAKES?



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# Emilia Romagna 2012



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# Seattle 2001



Collapse of an unreinforced masonry parapet



Rupture of water line due to the shifting of a storage tank



Failed bookshelves in a library



Failures of suspended lighting fixtures in an office building



Cracking of heavy masonry partition walls



Boarded shattered windows in control tower of Sea-Tac Airport



**So... What's Shaking ?**

EUCENTRE - European Centre for Training and Research in Earthquake Engineering

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# Importance of Considering Nonstructural Components in Seismic Design

- Nonstructural components represent the major portion of the total investment in typical buildings

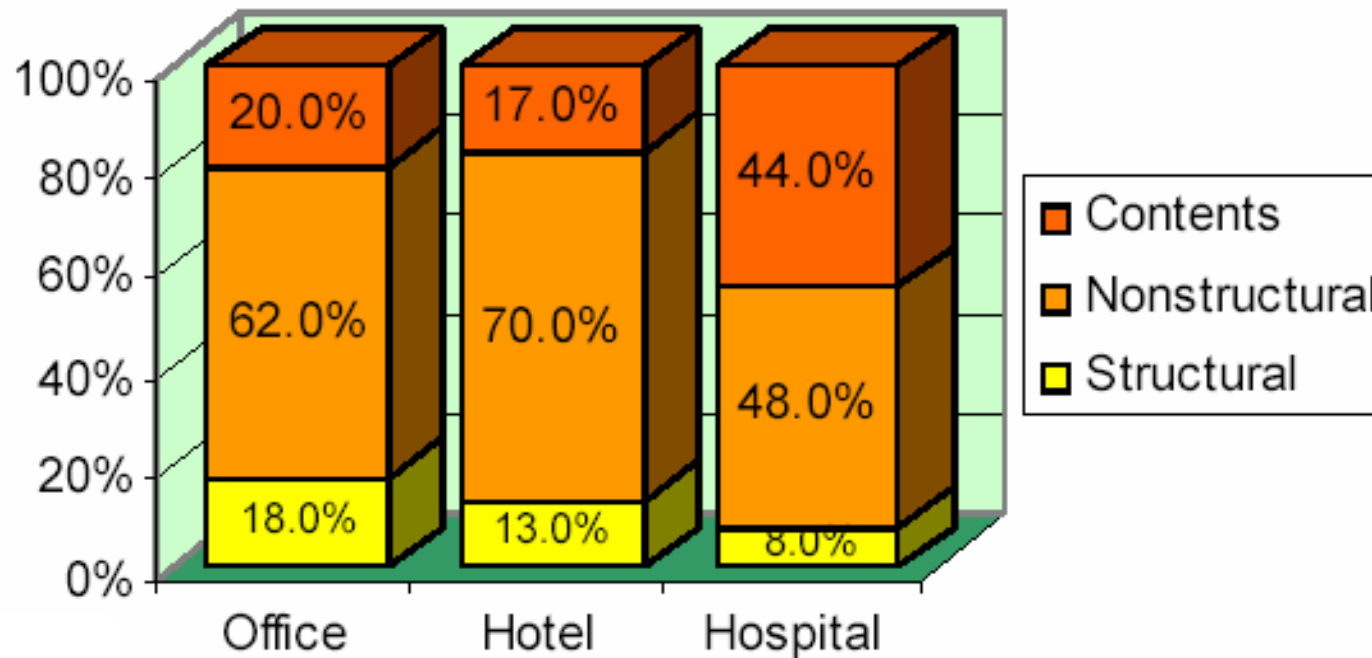
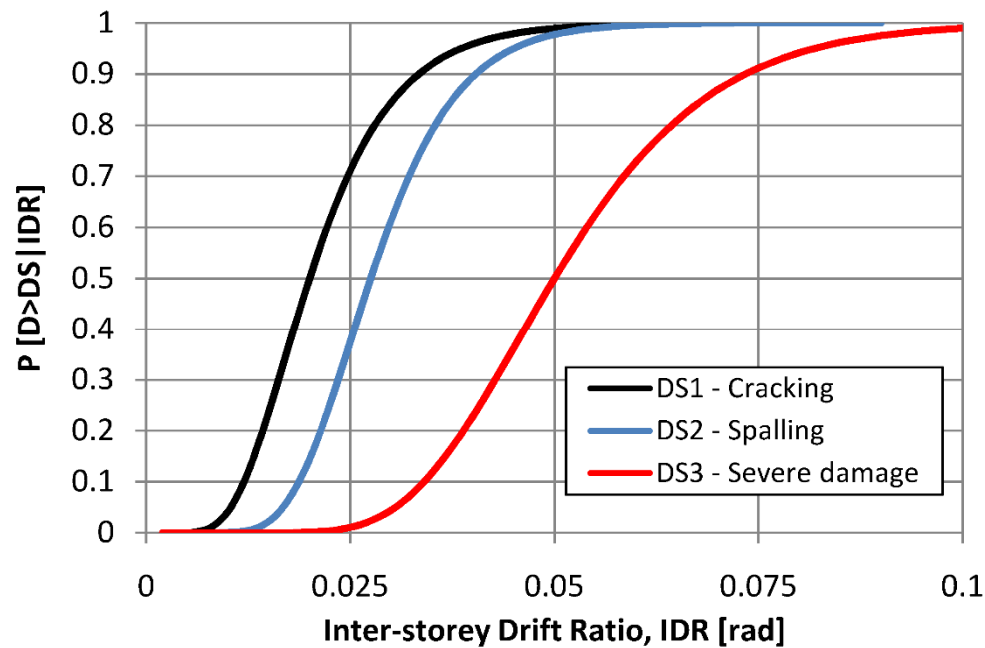


Fig 1. Investments in building construction (Miranda 2003)

- Damage to nonstructural components occurs at seismic intensities much lower than those required to produce structural damage
  - Steel moment-resisting frames yield at story drifts beyond 1% while gypsum partition walls show significant crack at drifts as low as 0.25%
  - In many past earthquakes, losses from damage to nonstructural building components have exceeded losses from structural damage.



Damage State	Description	$\theta_{med}^*$ [rad]	$\beta^{**}$
DS1	Beams or joints exhibit residual crack widths > 1.5mm. No significant spalling.	0.02	0.4
DS2	Spalling of cover concrete. Transverse reinforcement exposed.	0.0275	0.3
DS3	Exposed longitudinal reinforcement. Core crushing possible. Buckling or rupture of reinforcement may occur.	0.05	0.3

\* Median inter-storey drift    \*\* Lognormal standard deviation

## Direct losses

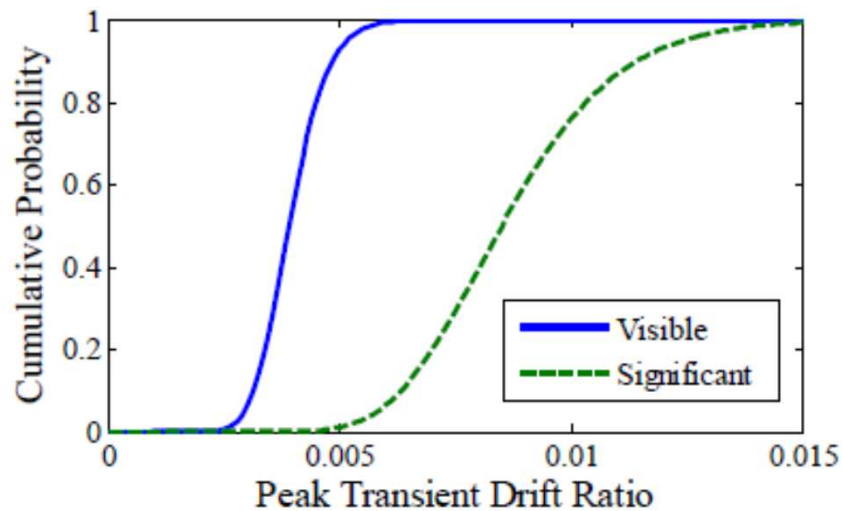
Sample fragility function (left) and damage state parameters (right) for a modern interior RC beam-column joint

(Values taken from ATC 58)

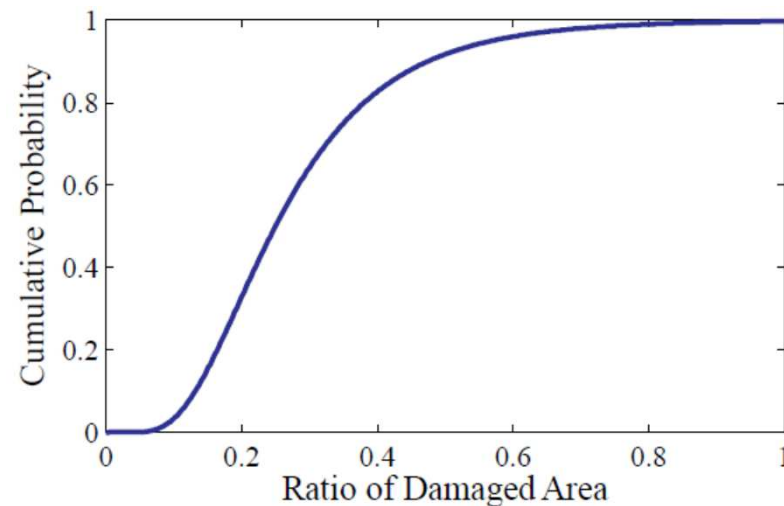


# Causes of Seismic Damage to Nonstructural Components

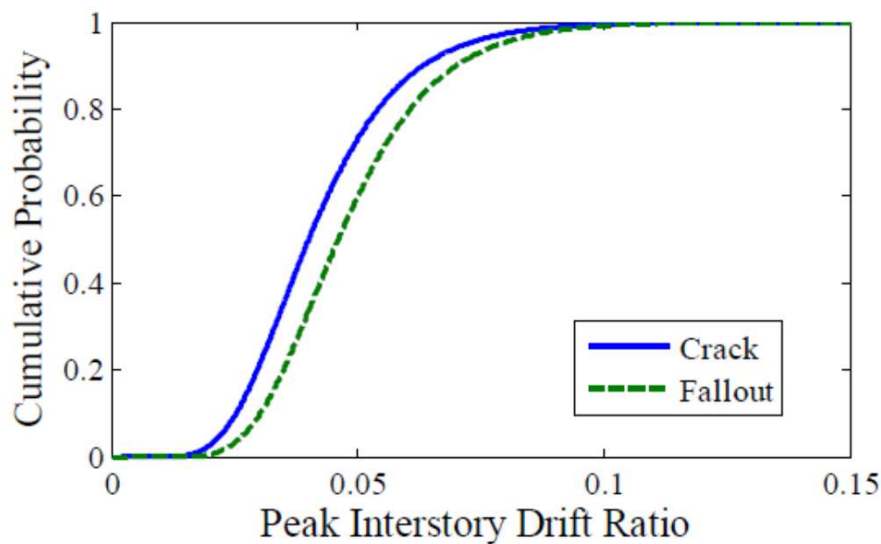
- Earthquake ground shaking has three primary effects on nonstructural elements in buildings:
  - Inertial Effects
  - Distortions imposed on nonstructural components
  - Separation or pounding at the interface between components and structures
  - Nonstructural interaction



gypsum drywall partitions

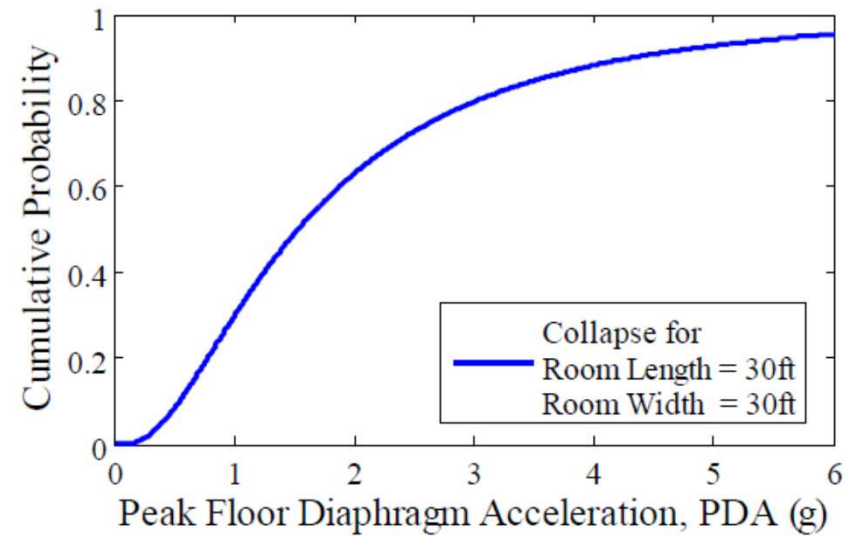
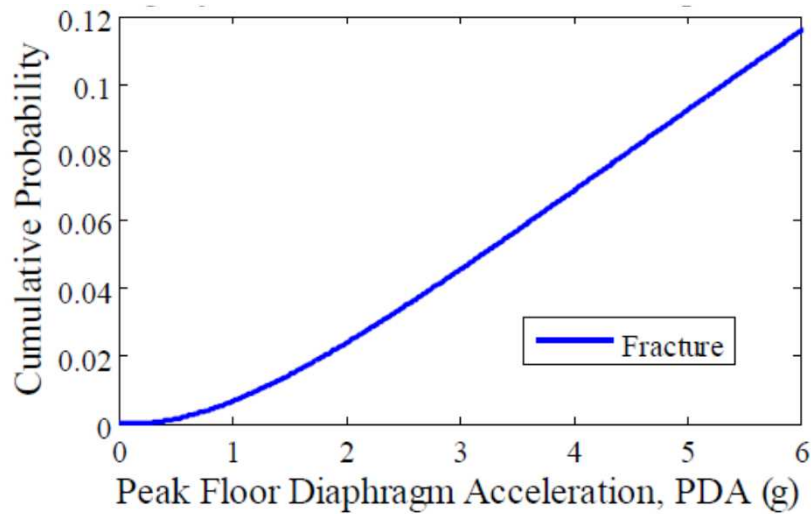


interior paint

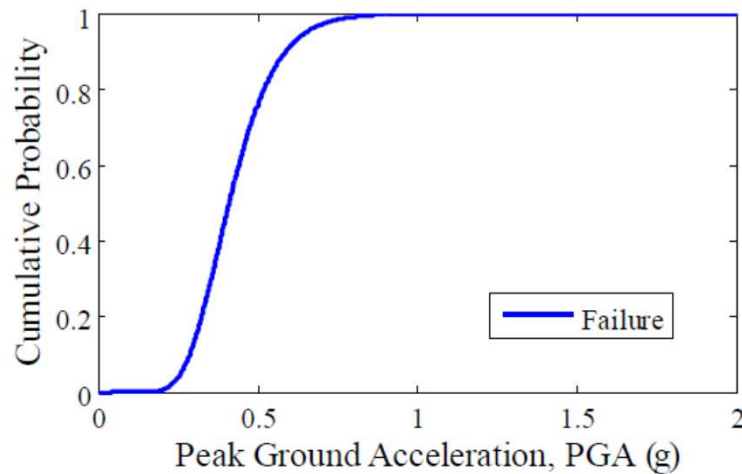


exterior glazing

Fragility functions  
(Mitrani-Reiser 2007)



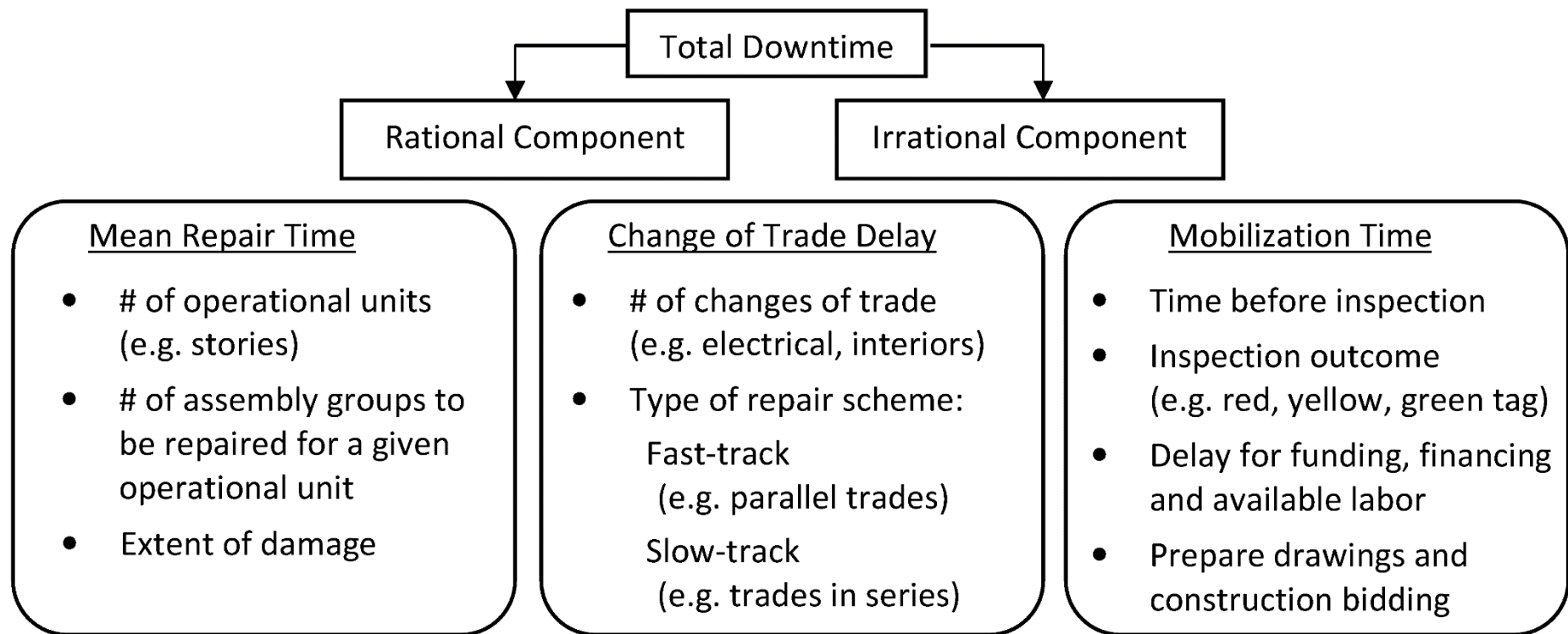
automatic sprinkler systems



acoustical ceiling

Fragility functions  
(Mitrani-Reiser 2007)

hydraulic elevators



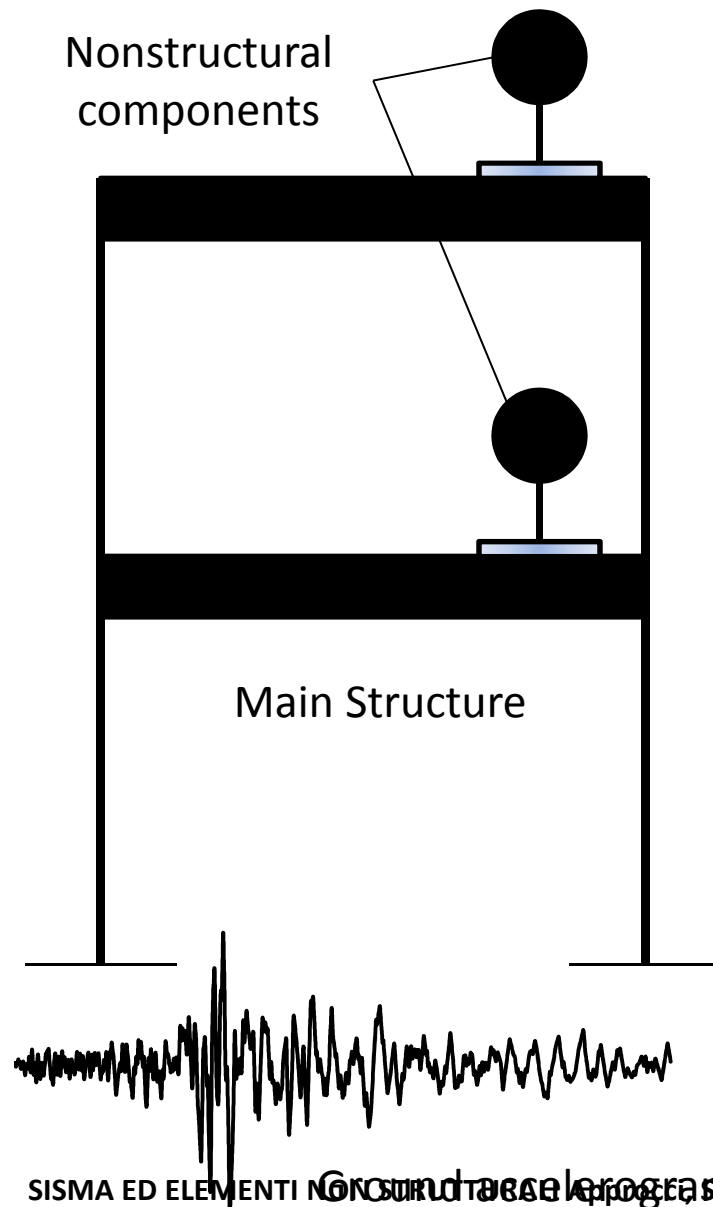
## Indirect losses

### Various aspects that can contribute to the downtime of a building following a seismic event

# Analysis Methods

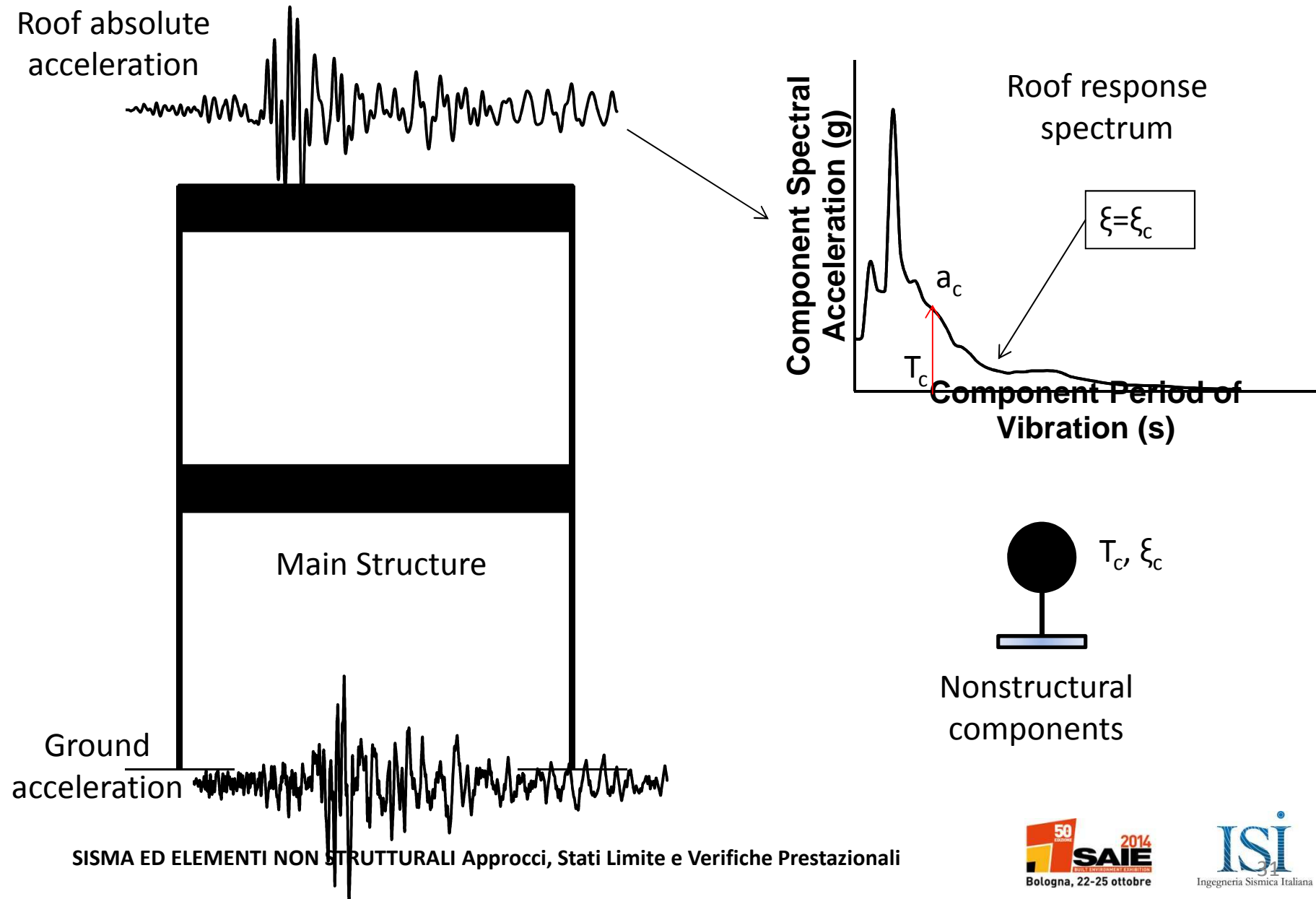
- Direct Analysis Method
  - Complete modeling of structural and nonstructural components
  - Ground input motions
- Cascading Analysis Method
  - Uncoupled analyses of structural and nonstructural components
  - Dynamic properties and floor responses of the primary structure are first estimated neglecting interaction with the nonstructural components
  - Structural response at the attachment level is then considered as the input motion for the estimation of the response of the nonstructural component.
  - Most popular cascading approach: Floor Response Spectrum (FRS) Method

# Direct analysis approach



- Cannot be used at preliminary design stages;
- High level of complexity, experience on the side of the designer is required;
- Models characterized by significant number of degrees of freedom;
- Complications connected to the mutual interaction between structure and components;

# Floor response spectra approach

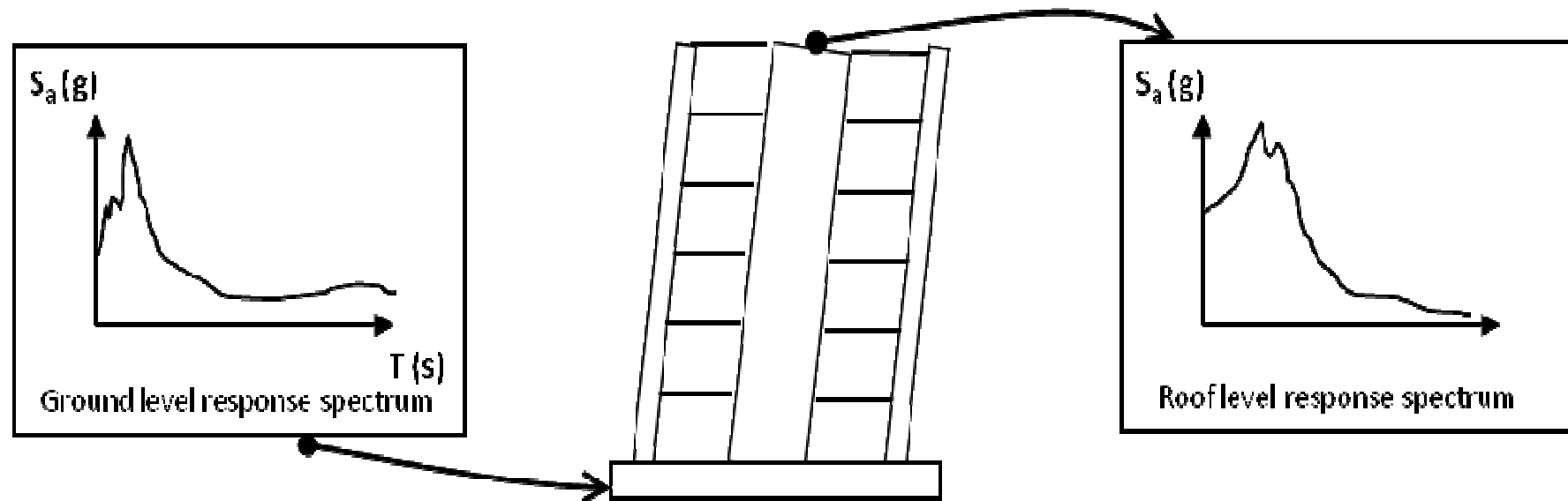


# Floor response spectra: direct generation

Approximate procedure: direct generation of floor response spectra approach.



Procedure adopted by current international codes  
(mainly empirical expressions are provided)





# Objectives of Seismic Design Requirements for Nonstructural Components

- Primary intent: maintain life safety
- Achieved by:
  - limiting large displacements of nonstructural components
  - design of proper anchorage to the main structure
  - minimizing the potential for internal damage suffered by nonstructural components, particularly in critical facilities.

# EUROCODE 8 APPROACH

$$F_a = \frac{S_a \gamma_a W_a}{q_a}$$

$$S_a = \alpha S \left[ 3 \frac{\left(1 + \frac{z}{H}\right)}{\left(1 + \left(1 - \frac{T_a}{T_1}\right)^2\right)} - 0.5 \right]$$

- $W_a$  is the component seismic weight
- $\gamma_a$  is the importance factor (1 or 1.5)
- $q_a$  is the behaviour factor (1 or 2)
- $\alpha$  is the ratio of the design ground acceleration on type A ground, to the acceleration of gravity;
- $S$  is the soil factor
- $T_a$  is the fundamental vibration period of the non-structural element;
- $T_1$  is the fundamental vibration period of the building in the relevant direction.
- $z$  is the height of the non-structural element above the level application of the seismic action;
- $H$  is the building height measured from the foundation or from the top of a rigid basement.

# U.S. code ASCE7-05 (2005) APPROACH

$$F_{ph} = \frac{0.4a_p S_{DS}}{\left(\frac{R_p}{I_p}\right)} \left(1 + 2\frac{z}{h}\right) W_p$$

$$S_{DS} = \frac{2}{3} S_{MS}$$

$$S_{MS} = F_a S_s$$

$$F_a = f(S_s, \text{site class})$$

$$0.3S_{DS}I_pW_p \leq F_{ph} \leq 1.6S_{DS}I_pW_p$$

- $S_s$  determined from the mapped 0.2s spectral response acceleration
  - $a_p$  component amplification factor (1 to 2.5)
  - $S_{DS}$  design earthquake spectral response acceleration at short period
  - $R_p$  component response modification factor (1 to 12)
  - $I_p$  component importance factor (1 or 1.5)
  - $z$  height of the structure at point of attachment of non structural component
  - $h$  average roof height of structure relative to the base elevation
  - $W_p$  operating weight of nonstructural component.
- Nonstructural components are considered rigid if their period of vibration is  $< 0.06s$

# New Zealand standard NZS1170.5 APPROACH

$$F_{ph} = C_p(T_p) C_{ph} R_p W_p \leq 3.6 W_p$$

$$C_p(T_p) = C(0) C_{Hi} C_i T_p$$

$$C(T) = C_h(T) Z R N(T, D)$$

$$C_{Hi} \begin{cases} = 1 + \frac{h_i}{6} & h_i < 12m \\ = 1 + 10 \frac{h_i}{h_m} & h_i \leq 0.2h_m \\ = 3 & h_i \geq 0.2h_m \end{cases}$$

$$C_i(T_p) \begin{cases} = 2 & T_p \leq 0.75s \\ = 0.5 & T_p \geq 1.5s \\ = 2(1.75 - P_p) & 0.75s < T_p < 1.5s \end{cases}$$

- $C_{ph}$  horizontal seismic coefficient
- $R_p$  part risk factor equal (importance factor)
- $W_p$  weight of the non-structural element
- $C_{ph}$  part horizontal component (equal to 1 if component responds elastically)
- $C_p$  horizontal design coefficient
- $C(0)$  elastic hazard spectrum @  $T=0s$
- $Z$  hazard factor
- $R$  return period factor
- $C_{hi}$  floor height coefficient
- $C_i(T_p)$  dynamic amplification coefficient

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# Comparison of equivalent static design forces

$$F_a = \frac{\alpha S}{\left(\frac{q_s}{\gamma_s}\right)} \left[ 3 \frac{\left(1 + \frac{z}{H}\right)}{\left(1 + \left(1 - \frac{T_a}{T_1}\right)^2\right)} - 0.5 \right] W_p$$

**EROCODE 8**

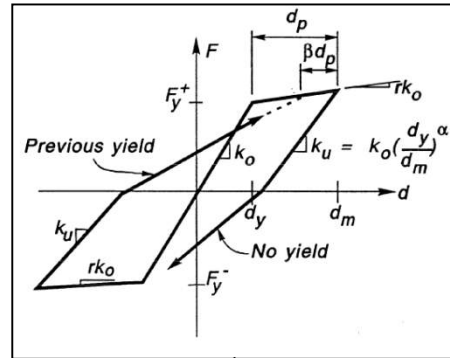
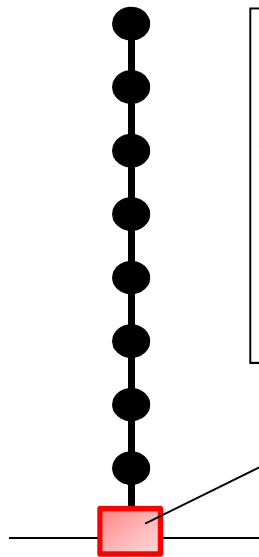
$$F_{ph} = \frac{0.4 a_p S_{DS}}{\left(\frac{R_p}{I_p}\right)} \left(1 + 2 \frac{z}{h}\right) W_p$$

**ASCE7-05 (2005)**

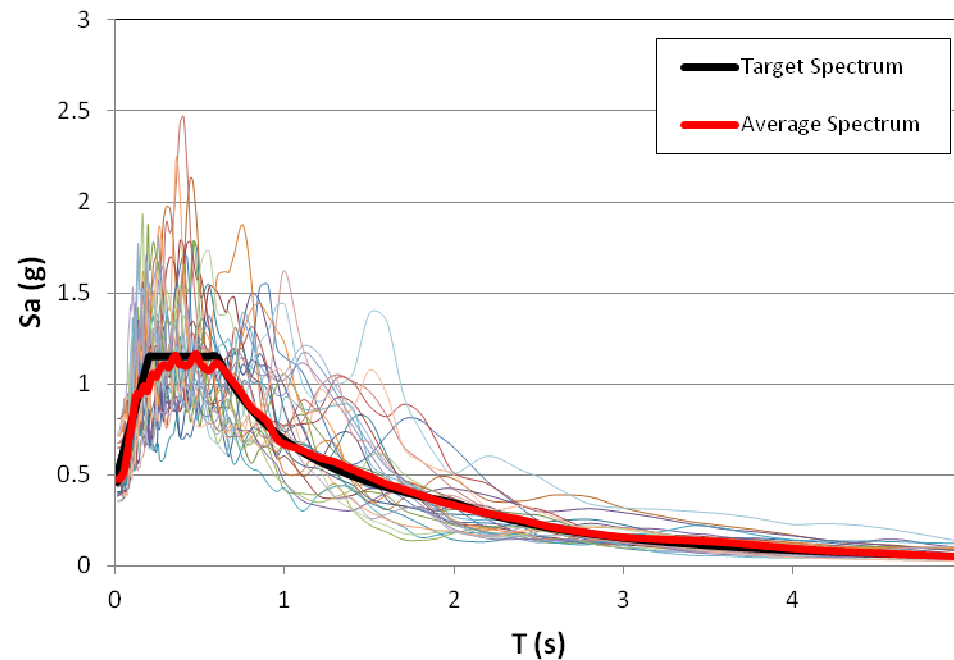
$$F_{ph} = C_p(T_p) C_{ph} R_p W_p$$

**NZS1170.5**

# Shortcomings of code approaches

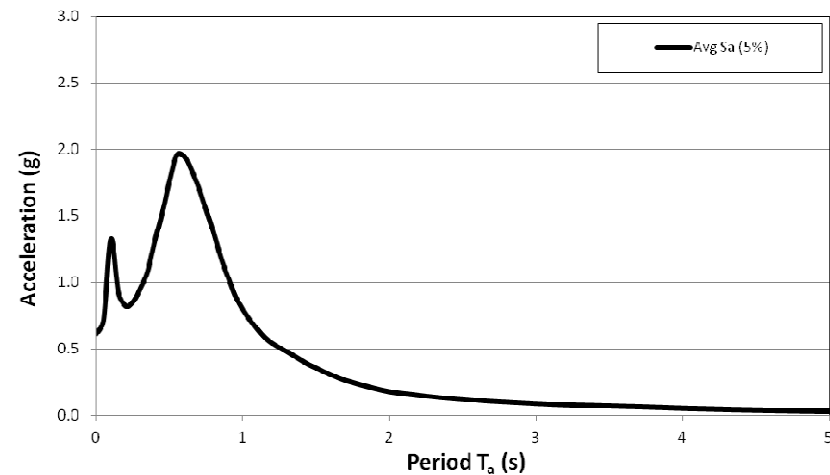
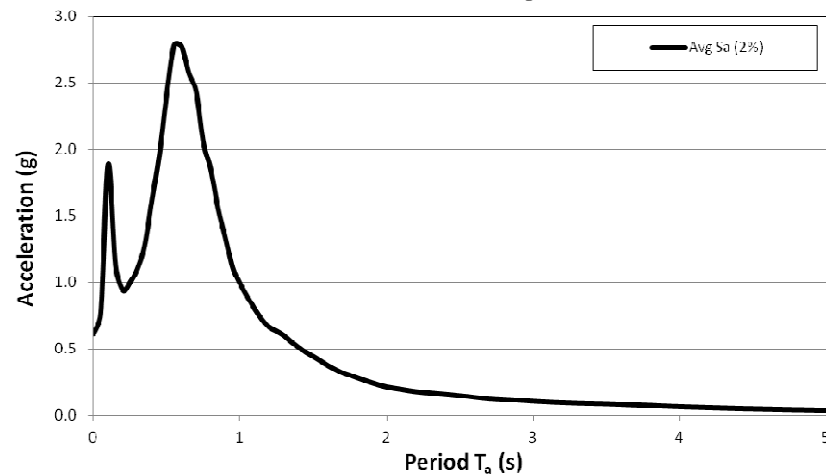


- 2D RUAUMOKO models;
- TAKEDA hysteretic rule for plastic hinges;
- Tangent stiffness proportional damping;
- Plastic hinges length calculated in line with expressions provided by Paulay and Priestley (1992);

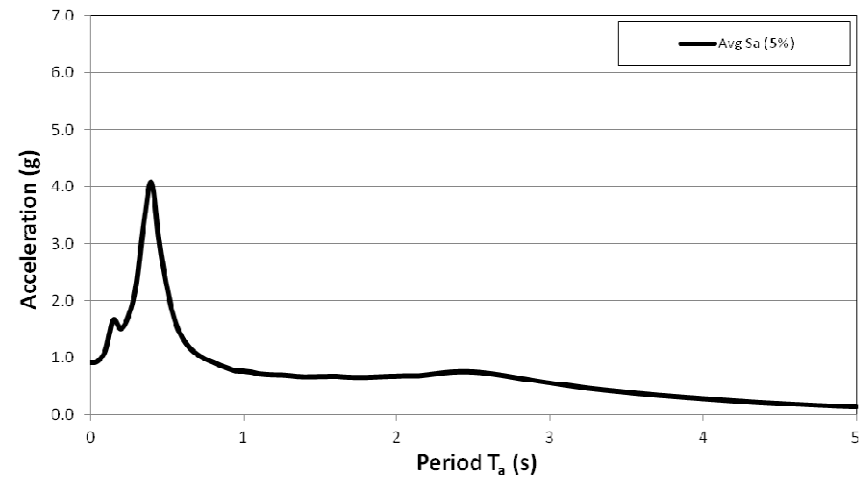
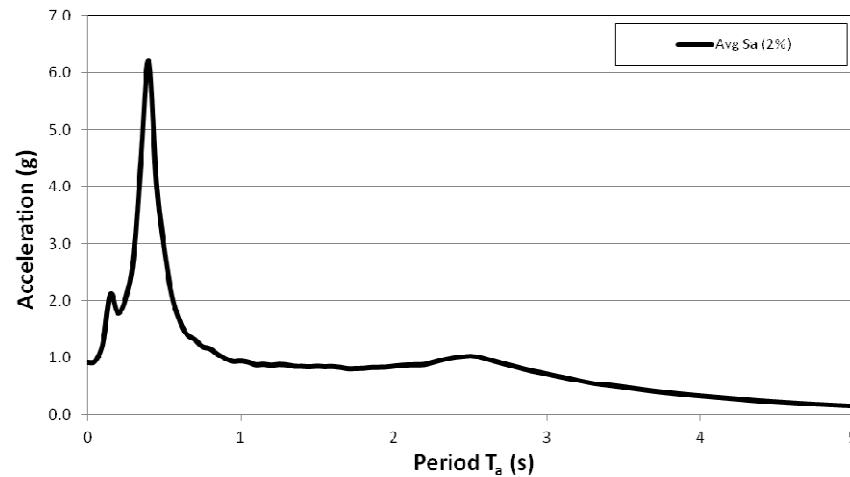


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# NLTH Analysis vs code recommendations



Comparison of roof level response spectra at 2% (left) and 5% (right) damping predicted via NLTH analyses of an 8-storey structure subject to accelerograms compatible with the EC8 spectrum at a PGA = 0.2 g

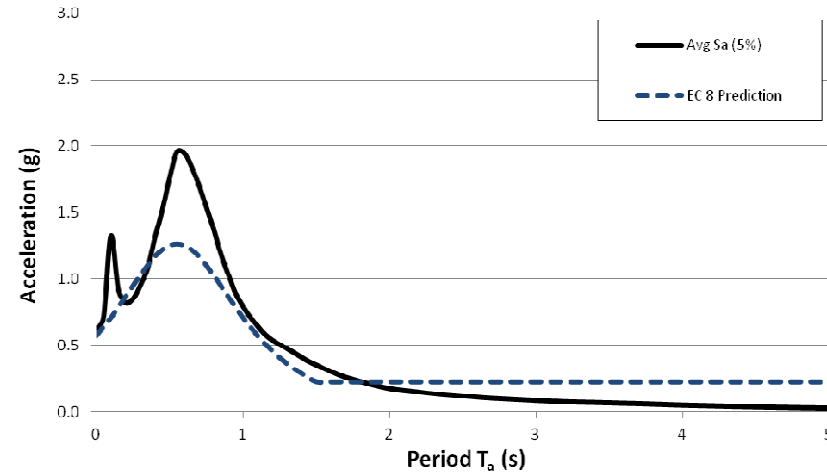
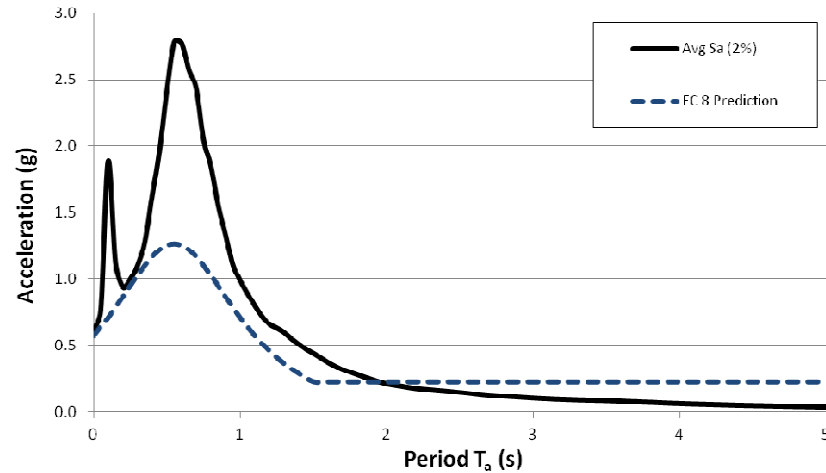


Comparison of roof level response spectra at 2% (left) and 5% (right) damping predicted via NLTH analyses of a 20-storey structure subject to accelerograms compatible with the EC8 spectrum at a PGA = 0.4 g

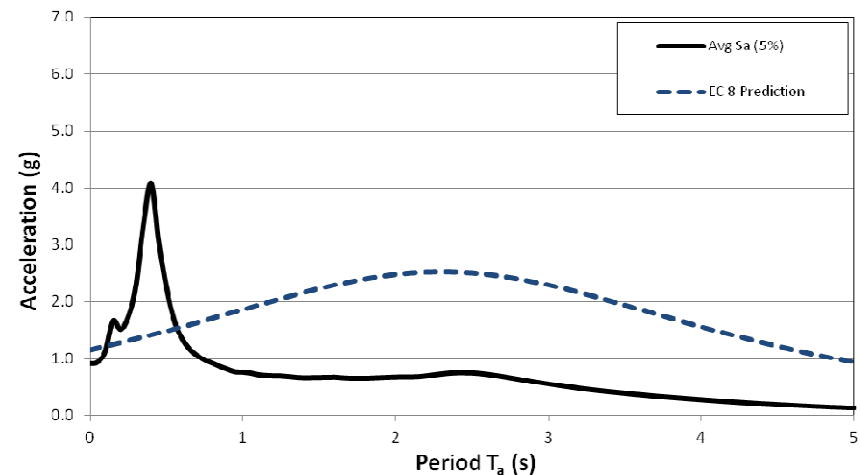
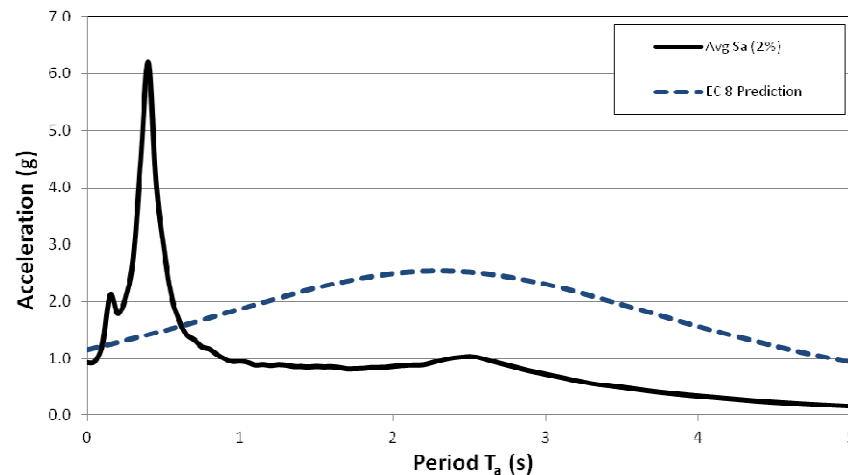
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# NLTH Analysis vs code recommendations



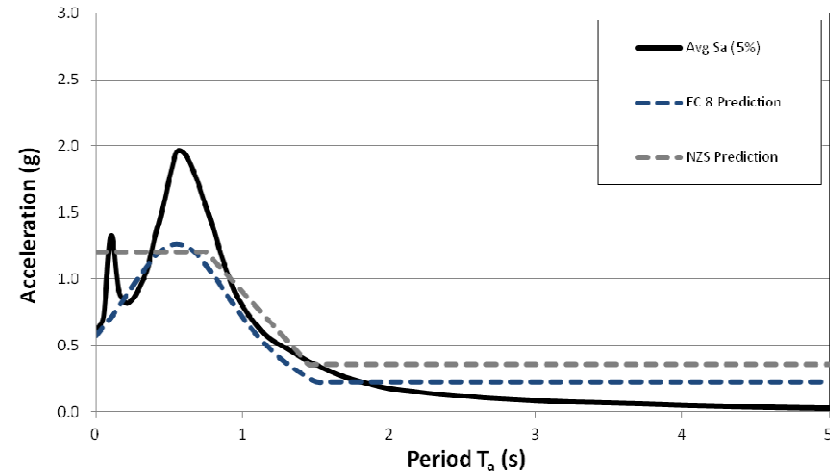
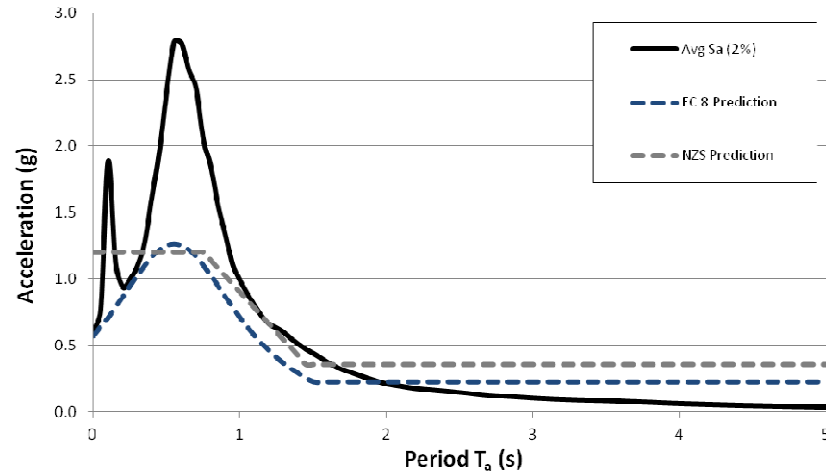
Comparison of roof level response spectra at 2% (left) and 5% (right) damping predicted via seismic code approaches and via NLTH analyses of an 8-storey structure subject to accelerograms compatible with the EC8 spectrum at a PGA = 0.2 g



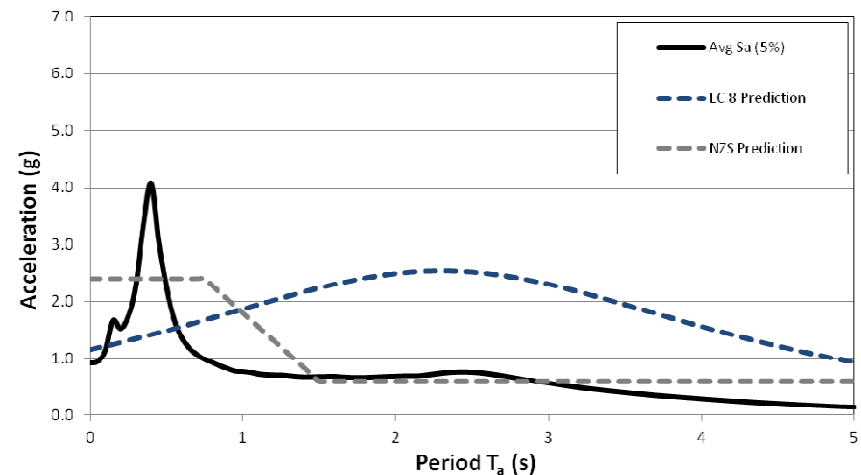
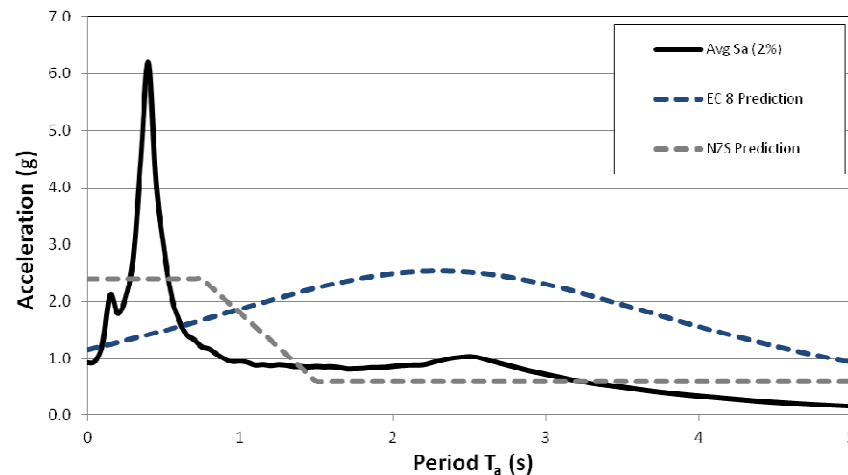
Comparison of roof level response spectra at 2% (left) and 5% (right) damping predicted via seismic code approaches and via NLTH analyses of an 20-storey structure subject to accelerograms compatible with the EC8 spectrum at a PGA = 0.4 g



# NLTH Analysis vs code recommendations

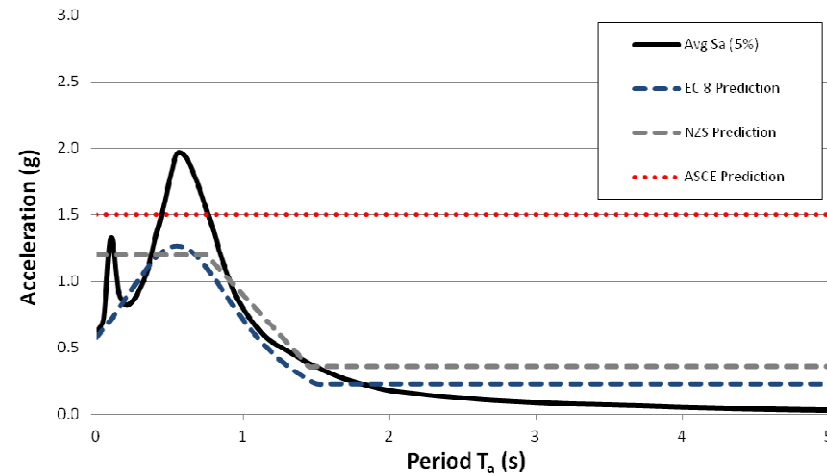
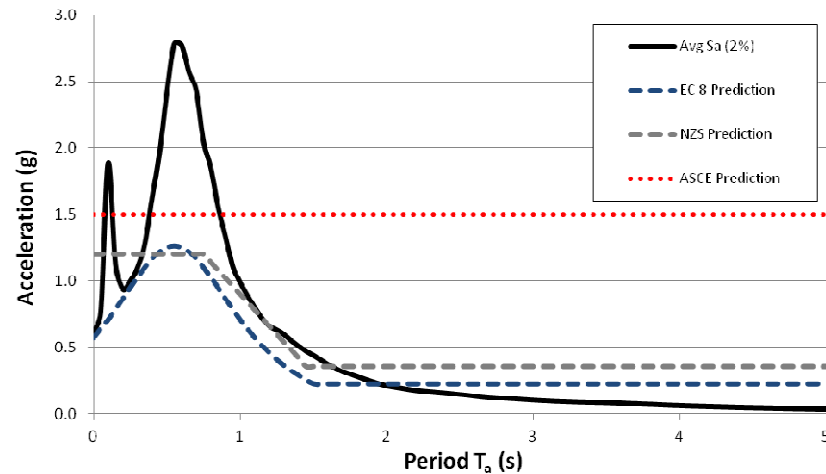


Comparison of roof level response spectra at 2% (left) and 5% (right) damping predicted via seismic code approaches and via NLTH analyses of an 8-storey structure subject to accelerograms compatible with the EC8 spectrum at a PGA = 0.2 g

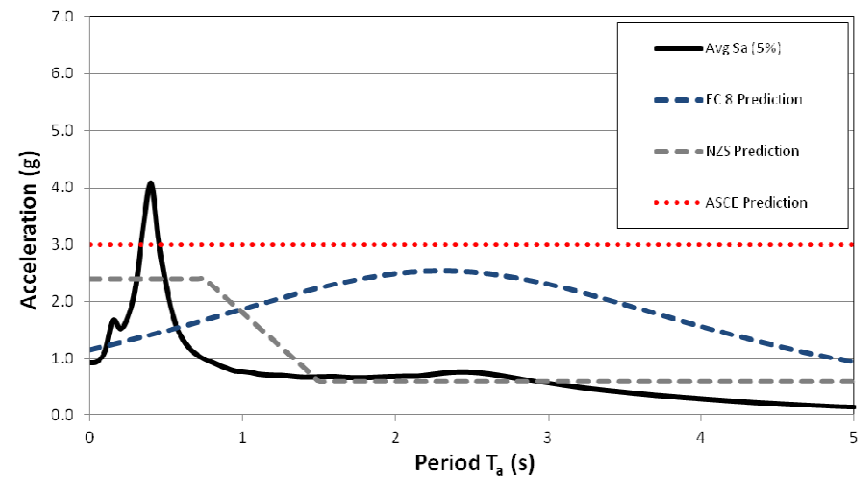
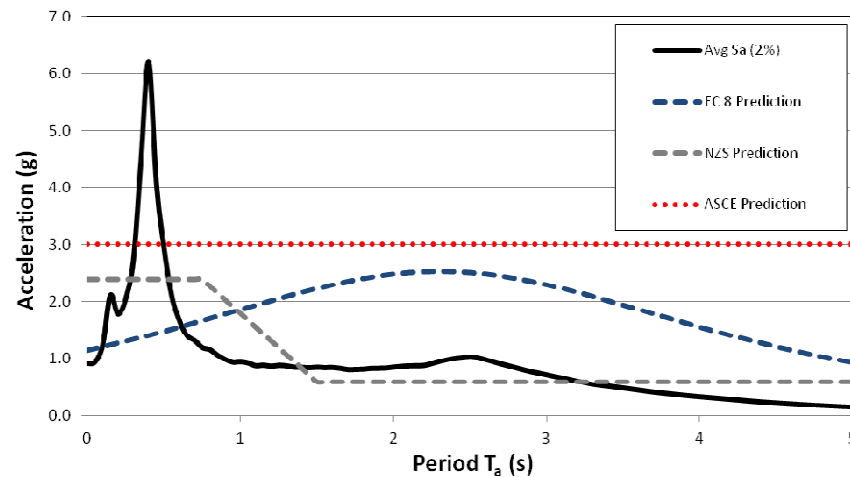


Comparison of roof level response spectra at 2% (left) and 5% (right) damping predicted via seismic code approaches and via NLTH analyses of an 20-storey structure subject to accelerograms compatible with the EC8 spectrum at a PGA = 0.4 g

# NLTH Analysis vs code recommendations



Comparison of roof level response spectra at 2% (left) and 5% (right) damping predicted via seismic code approaches and via NLTH analyses of an 8-storey structure subject to accelerograms compatible with the EC8 spectrum at a PGA = 0.2 g



Comparison of roof level response spectra at 2% (left) and 5% (right) damping predicted via seismic code approaches and via NLTH analyses of an 20-storey structure subject to accelerograms compatible with the EC8 spectrum at a PGA = 0.4 g

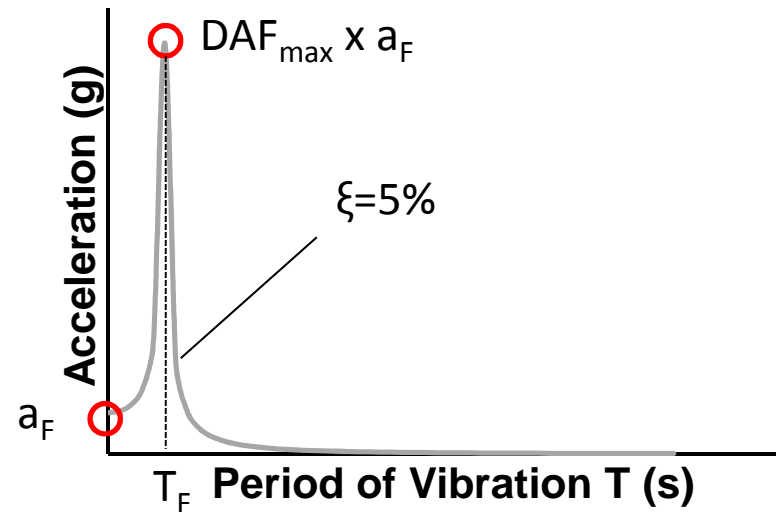
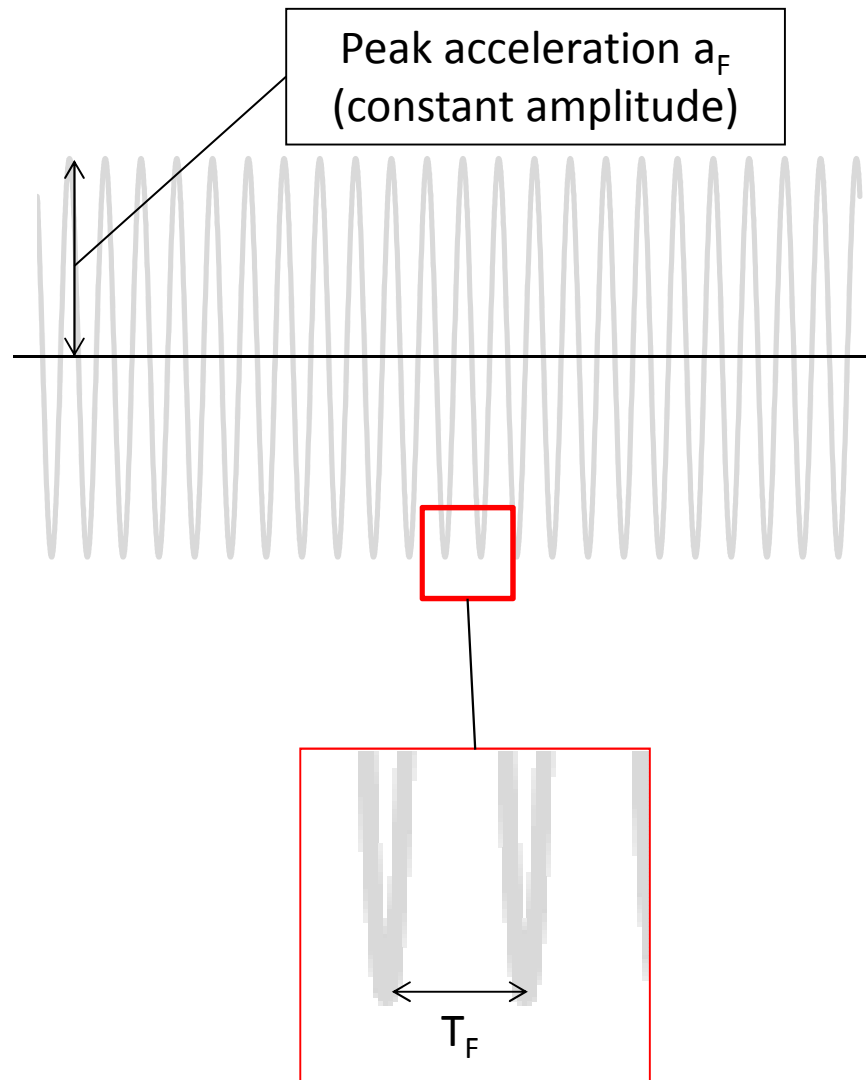
# Main Influence on floor response spectra

- Properties of the main system (period, damping, linear, nonlinear, degrees of freedom ...);
- Properties of the ground motion (frequency content, duration, average amplitude ...);
- Properties of the secondary element to be designed;
- Location of the component within the building;

## TARGET:

Formulate a rational approach to generate floor response spectra directly from ground response spectra, accounting for the aspects listed above.

# Reviewing harmonic acceleration case



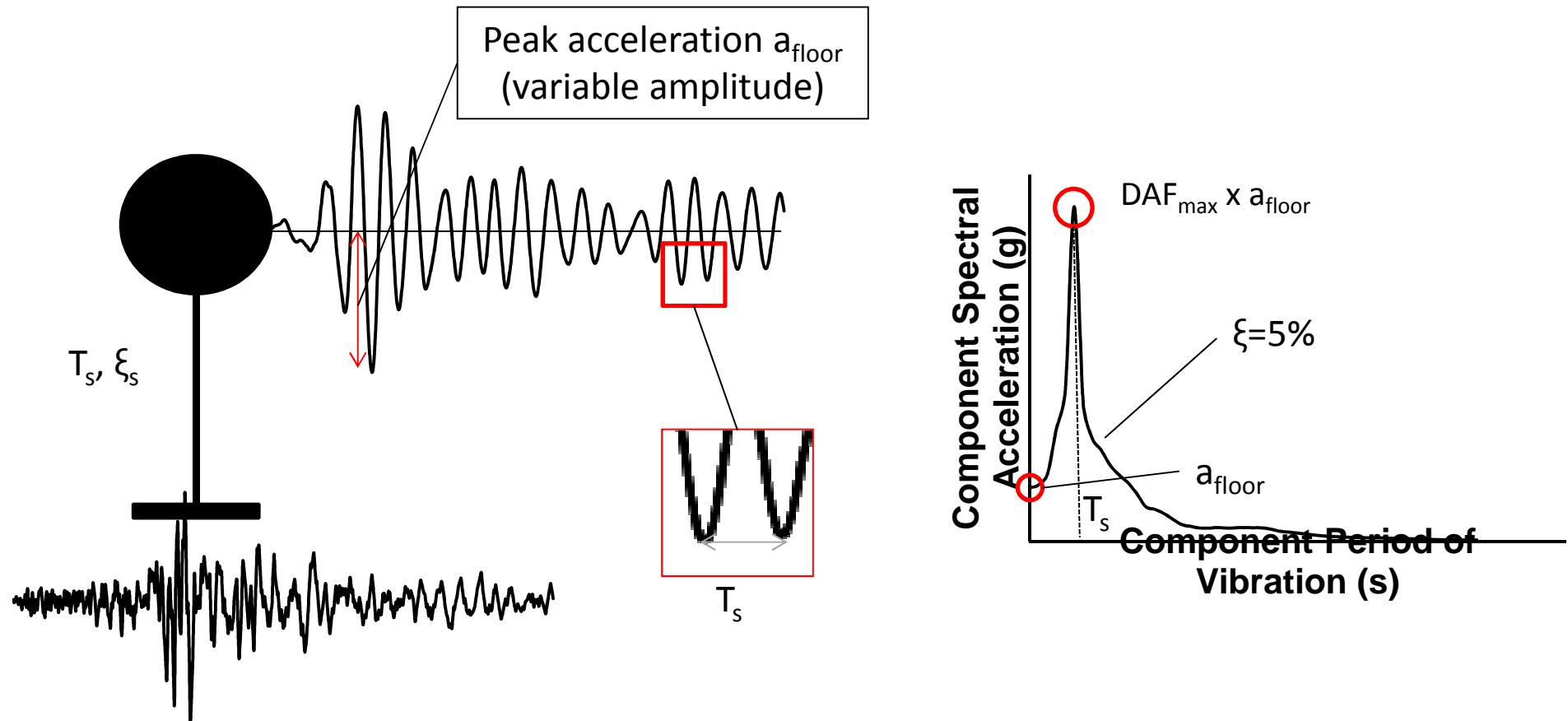
$$a_s = a_F DAF$$

$$DAF = \frac{1}{\sqrt{((1 - \beta^2)^2 + (2\xi\beta)^2)}}$$

$$DAF_{max} = \frac{1}{2\xi}$$

$$\beta = \frac{T_f}{T}$$

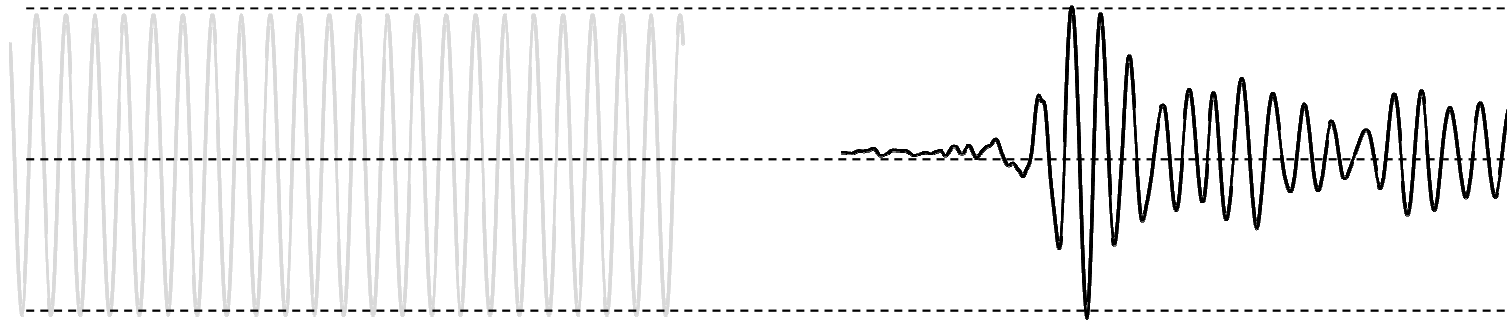
# Elastic SDF supporting system



$DAF_{\text{max}}$  ?

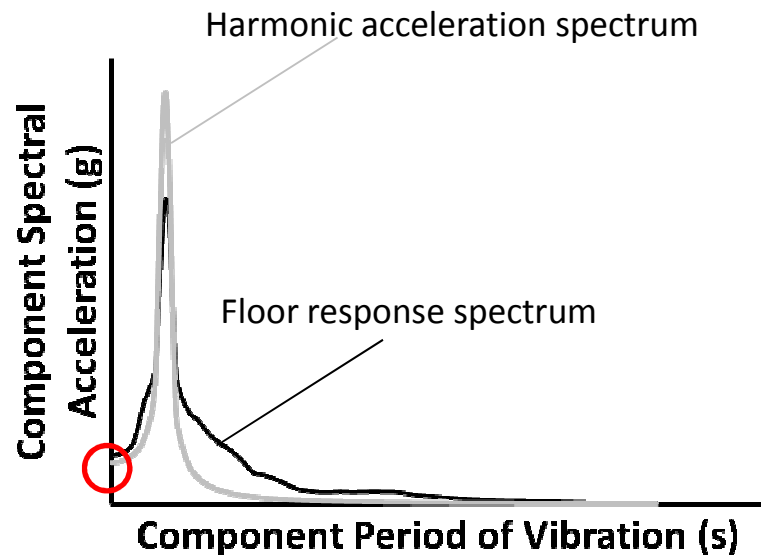
$$a_m = a_{\text{floor}} DAF \quad DAF \quad ?$$

# Elastic SDF supporting system



- Infinite duration;
- Constant amplitude;
- Constant forcing frequency;

- Finite duration;
- Variable amplitude;
- Constant forcing frequency;



- Harmonic acceleration theory:
- Acceleration at  $T=0$ s properly estimated;
  - Peak of the spectrum is correctly located on the x-axis but overestimated in terms of intensity;
  - Decaying branch of the spectrum drops too quickly

# Elastic SDF supporting system

## Achieved objectives:

- Peak of the spectrum can be localized on the x-axis if the period of vibration of the main system is known;
- The peak floor acceleration can be estimated from a ground spectrum if the main system behaves elastically

## To be achieved:

- Calibrate a new expression to compute  $DAF_{max}$  ;
- Calibrate new expression for the decreasing branch of the spectrum;

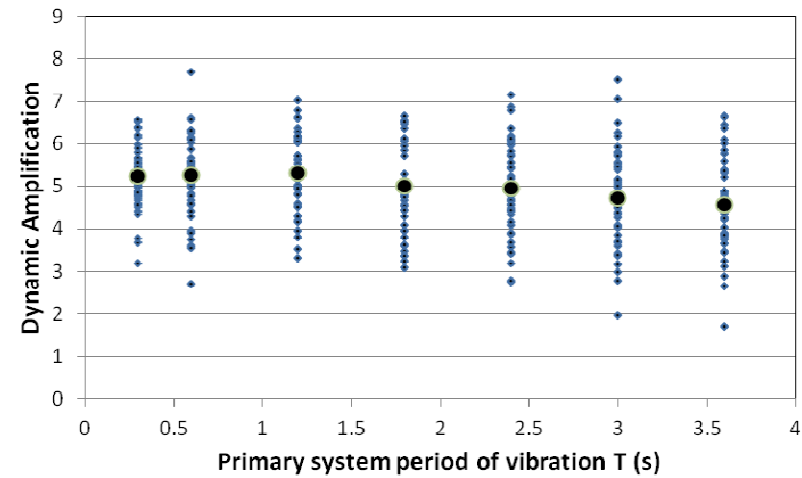
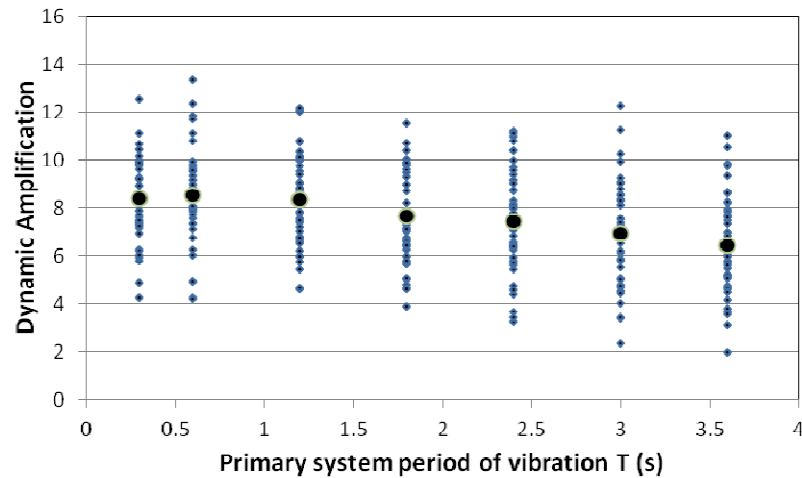


Parametric study via time history analysis:

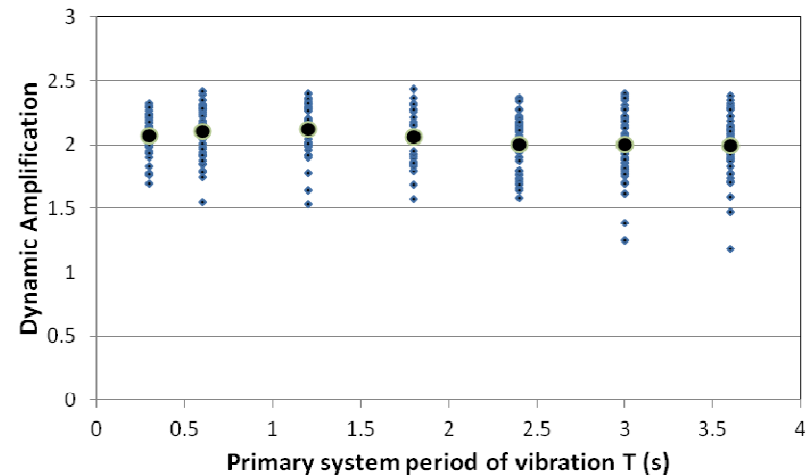
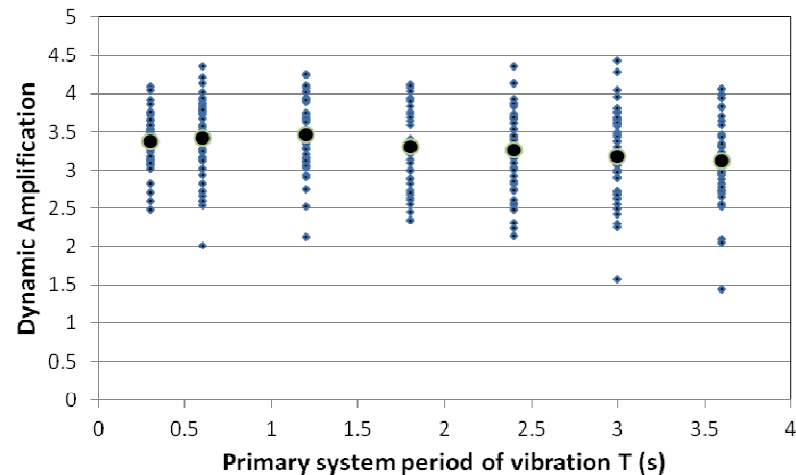
- 7 SDF case study structures with  $T = 0.3$  to  $3.6$  seconds
- 50 ground motions compatible with the EC8 ground spectrum



# Calibration of a dynamic amplification factor



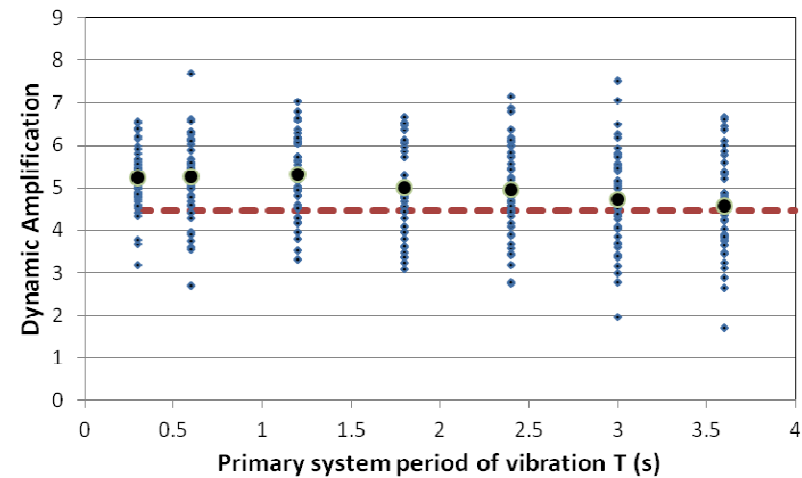
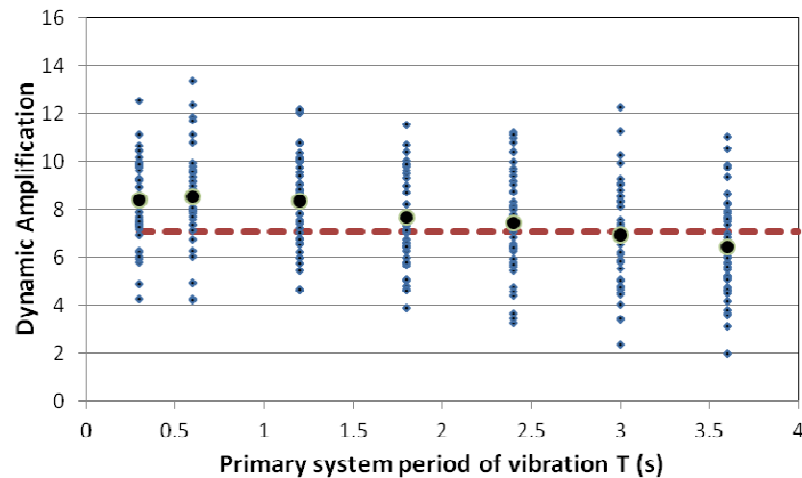
Maximum dynamic amplification factors at 2% (left) and 5% (right) damping calculated via NLTH analyses of 7 elastic SDF case study structures



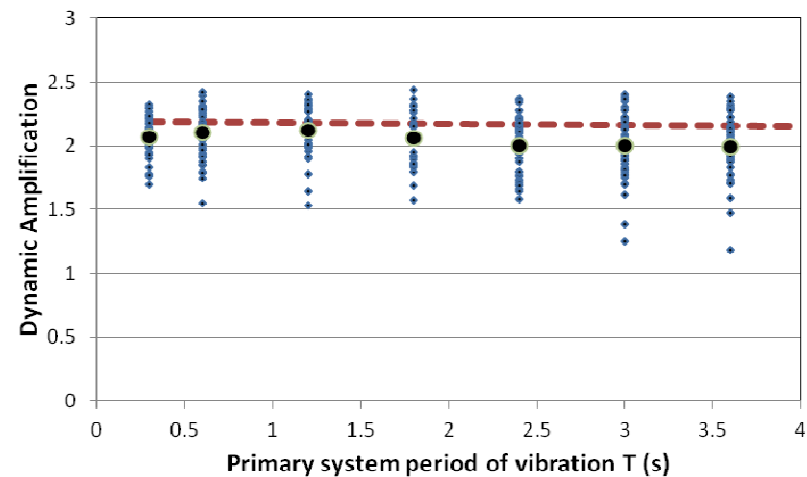
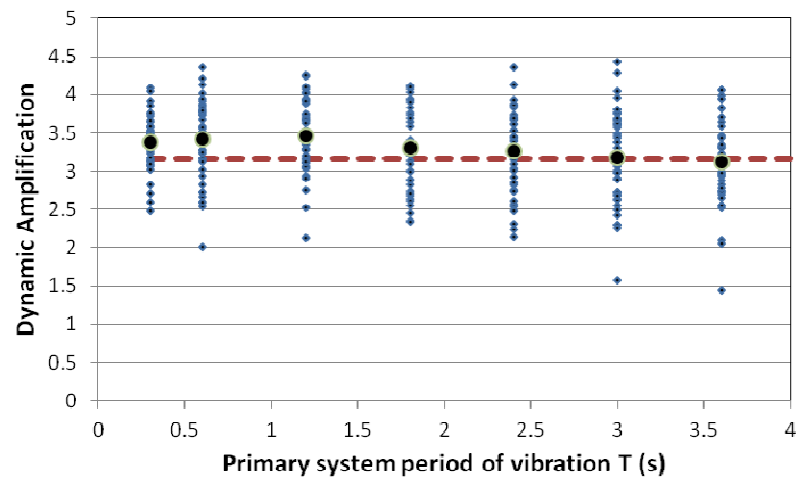
Maximum dynamic amplification factors at 10% (left) and 20% (right) damping calculated via NLTH analyses of 7 elastic SDF case study structures

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# Calibration of a dynamic amplification factor



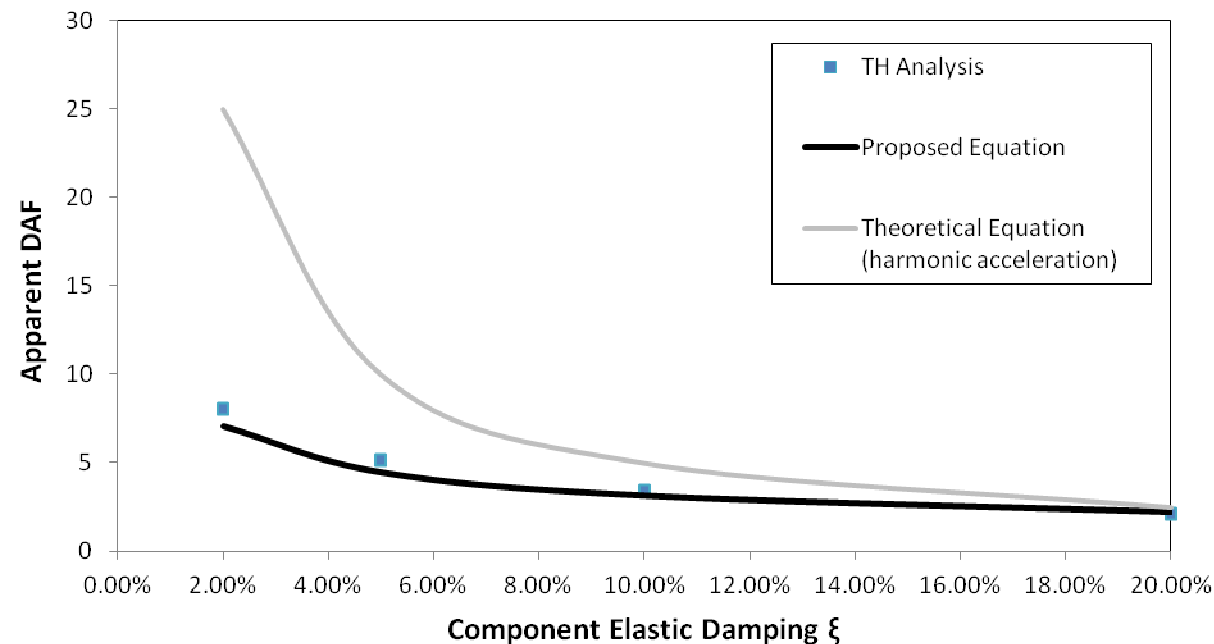
Maximum dynamic amplification factors at 2% (left) and 5% (right) damping calculated via NLTH analyses of 7 elastic SDF case study structures compared to the values approximated by the proposed function



Maximum dynamic amplification factors at 10% (left) and 20% (right) damping calculated via NLTH analyses of 7 elastic SDF case study structures compared to the values approximated by the proposed function

**SISMA ED ELEMENTI NON STRUTTURALI Approcci, Stati Limite e Verifiche Prestazionali**

# Calibration of a dynamic amplification factor



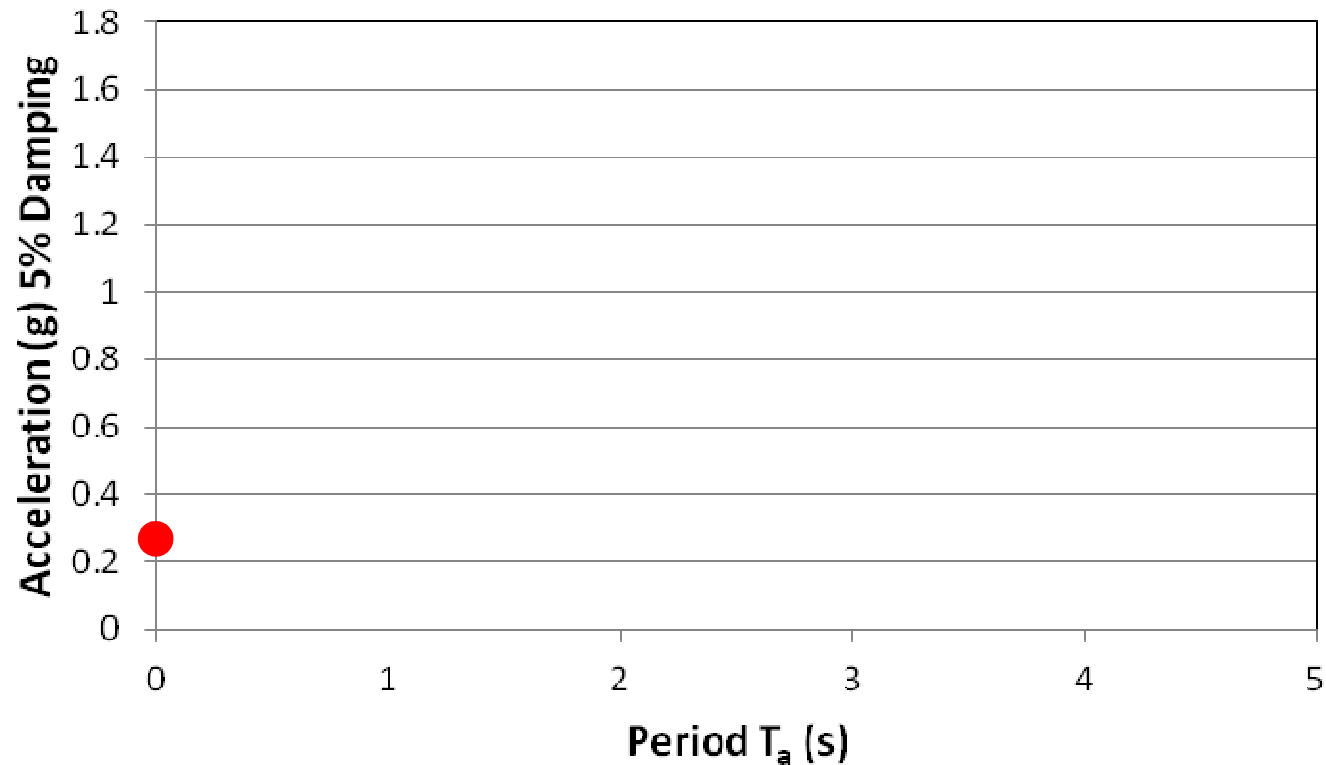
$$DAF_{\max} = 1/\xi^{0.5}$$

The proposed equation was successfully tested for:

- Near source ground motions (16 records);
- Long duration ground motions (12 records);

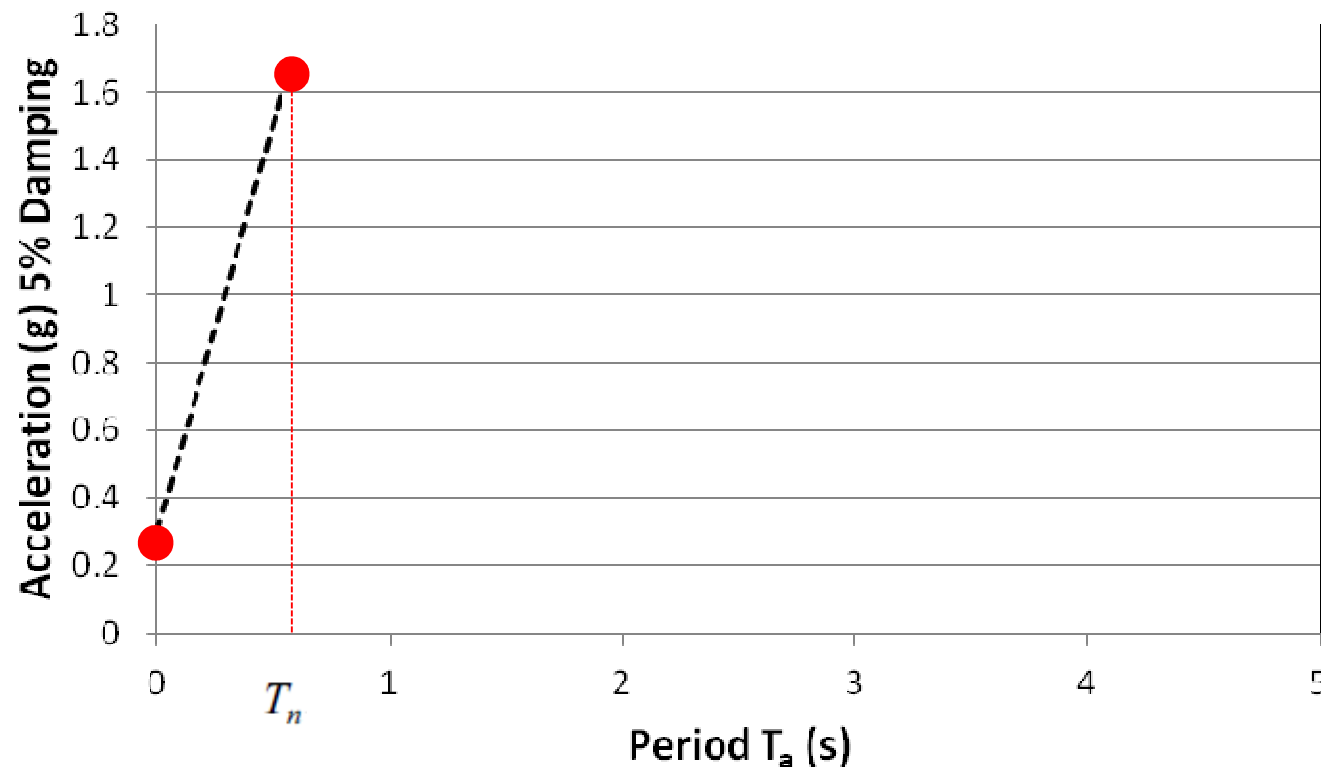
# “Elastic” floor response spectra construction

$a_{floor}$



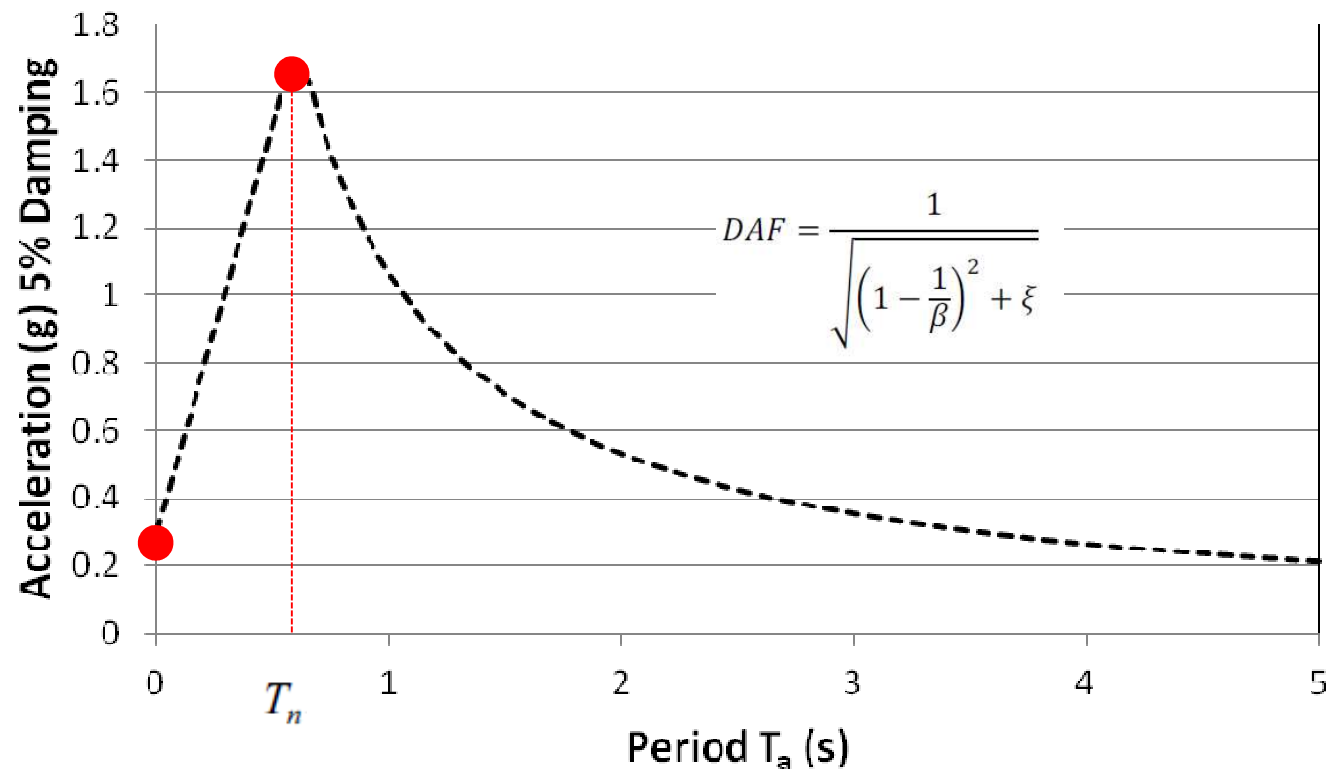
# “Elastic” floor response spectra construction

$$a_m = \frac{T}{T_y} [a_{floor}(DAF_{max} - 1)] + a_{floor} \quad T < T_n$$



# “Elastic” floor response spectra construction

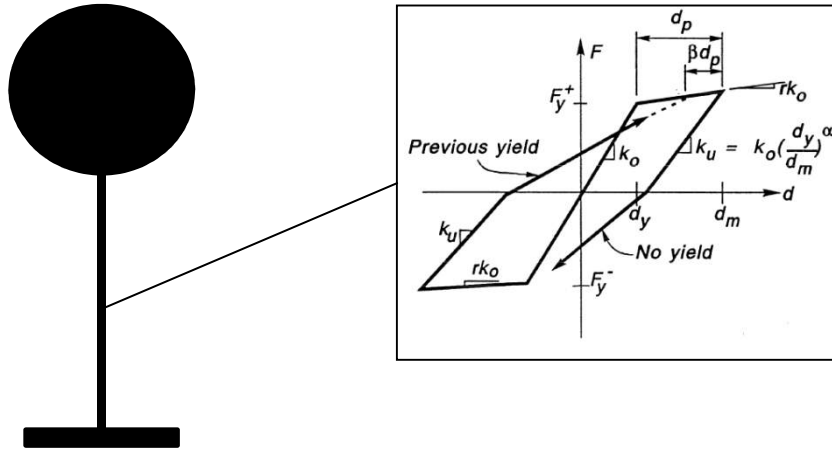
$$\begin{aligned}
 a_m &= \frac{T}{T_y} [a_{floor}(DAF_{max} - 1)] + a_{floor} & T < T_n \\
 a_m &= a_{floor} DAF_{max} & T = T_n \\
 a_m &= a_{floor} DAF & T > T_n
 \end{aligned}$$



What if the main structure  
undergoes nonlinear behavior?

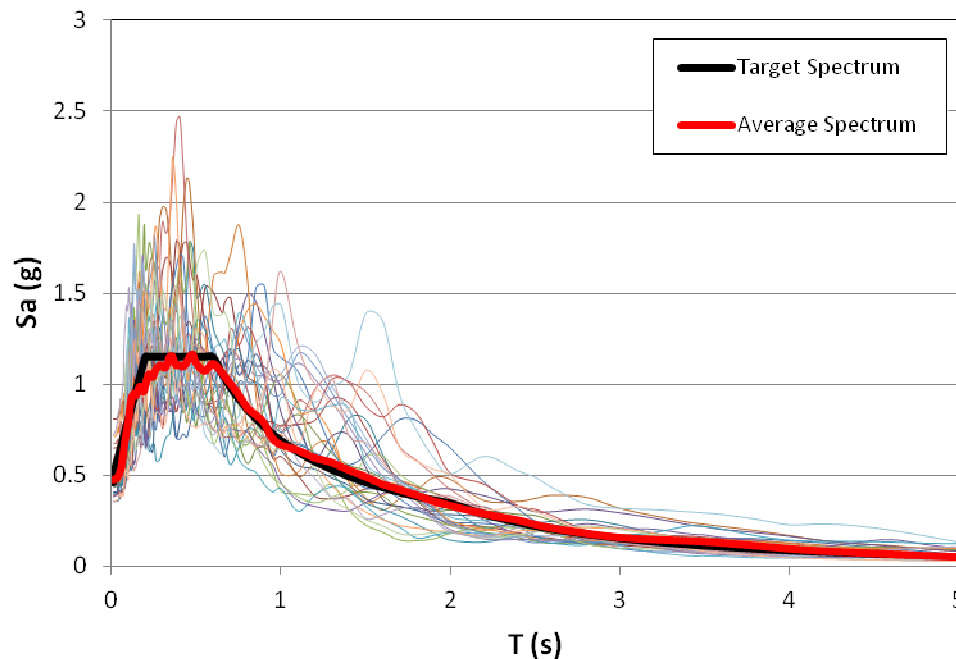


# Nonlinear SDF supporting system



## Case study structures:

- $T_s = 0.55, 1.3$  and  $2$  seconds
- TAKEDA hysteretic rule for plastic hinges
- Plastic hinges length calculated in line with expressions provided by Paulay and Priestley (1992);
- $\xi_s = 5\%$  (Tangent stiffness proportional damping)

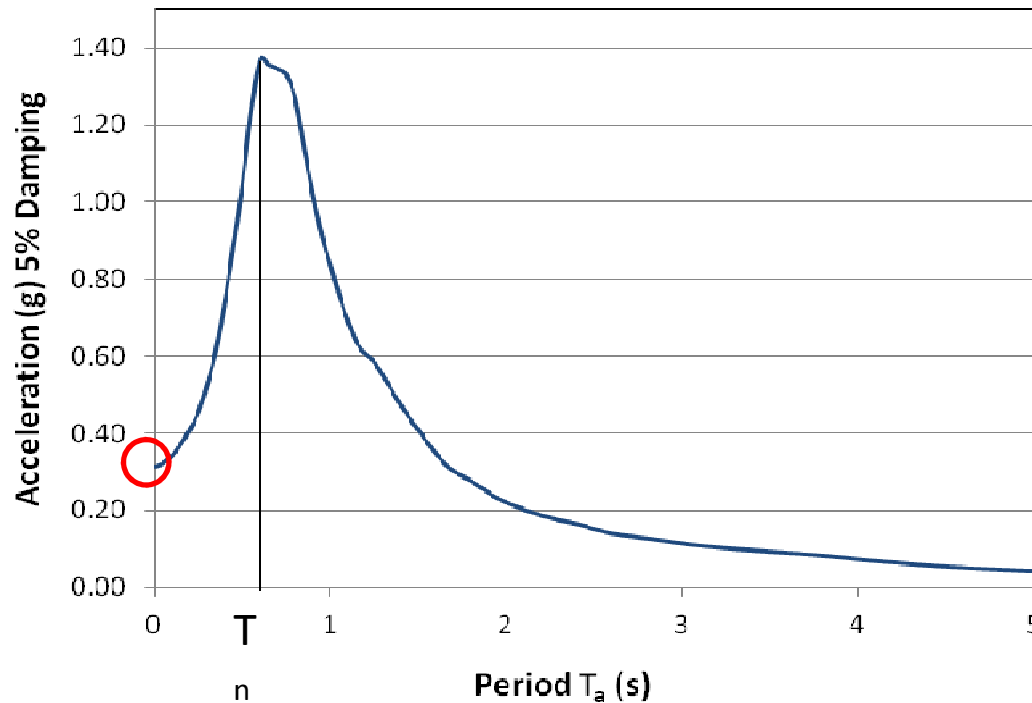


## 47 Ground motions

- $PGA = 0.2g, 0.4g$  and  $0.8g$
- $\xi_c = 2, 5, 10$  and  $20\%$

# Nonlinear SDF supporting system

Peak ground acceleration = 0.2g



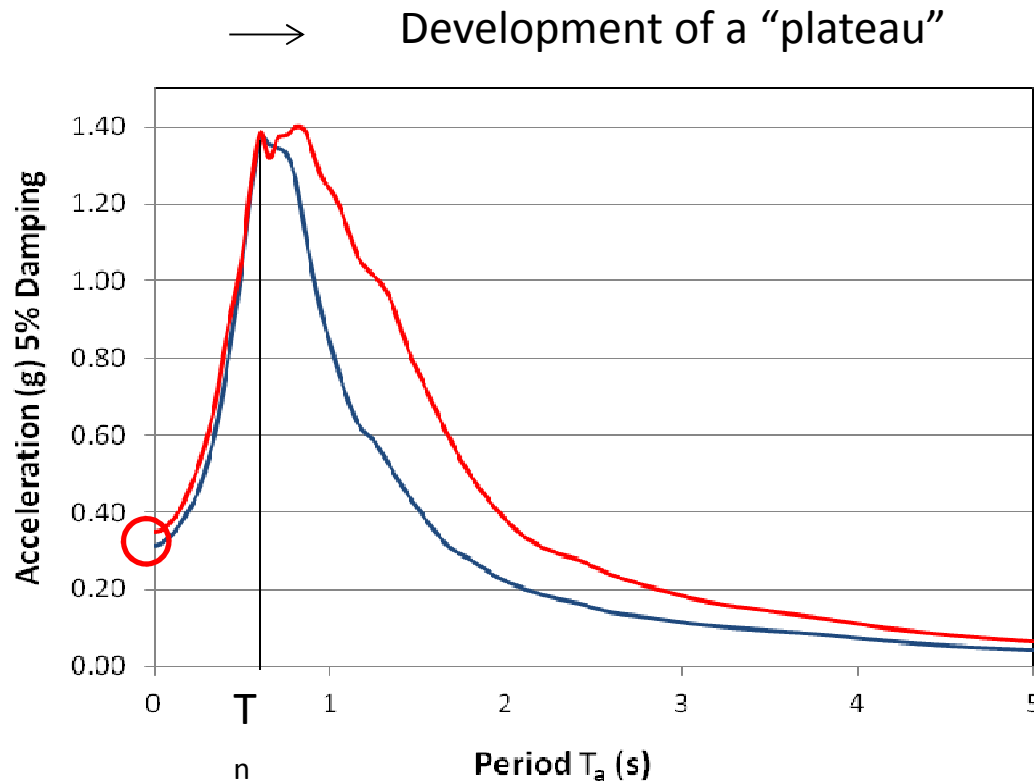
Peak floor acceleration (PFA) = 0.31g

Peak spectral acceleration (PSA) = 1.25 g

Maximum ductility = 1.9

# Nonlinear SDF supporting system

Peak ground acceleration = 0.4g



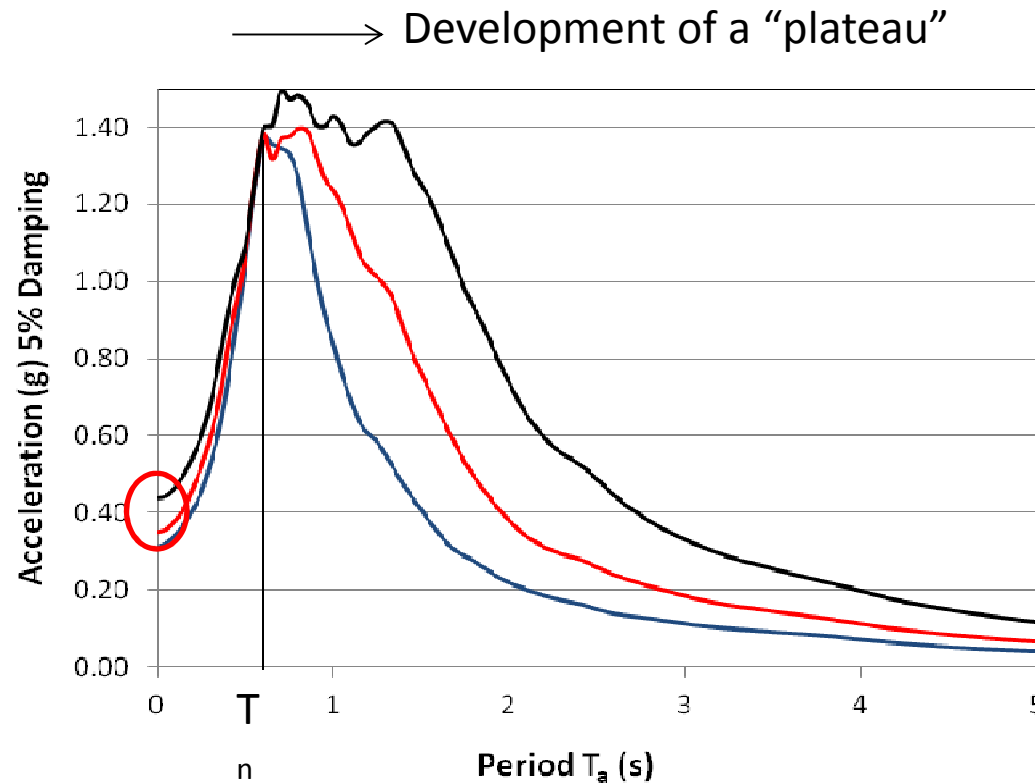
Peak floor acceleration (PFA) = 0.35g

Peak spectral acceleration (PSA) = 1.4 g

Maximum ductility = 4.6

# Nonlinear SDF supporting system

Peak ground acceleration = 0.8g



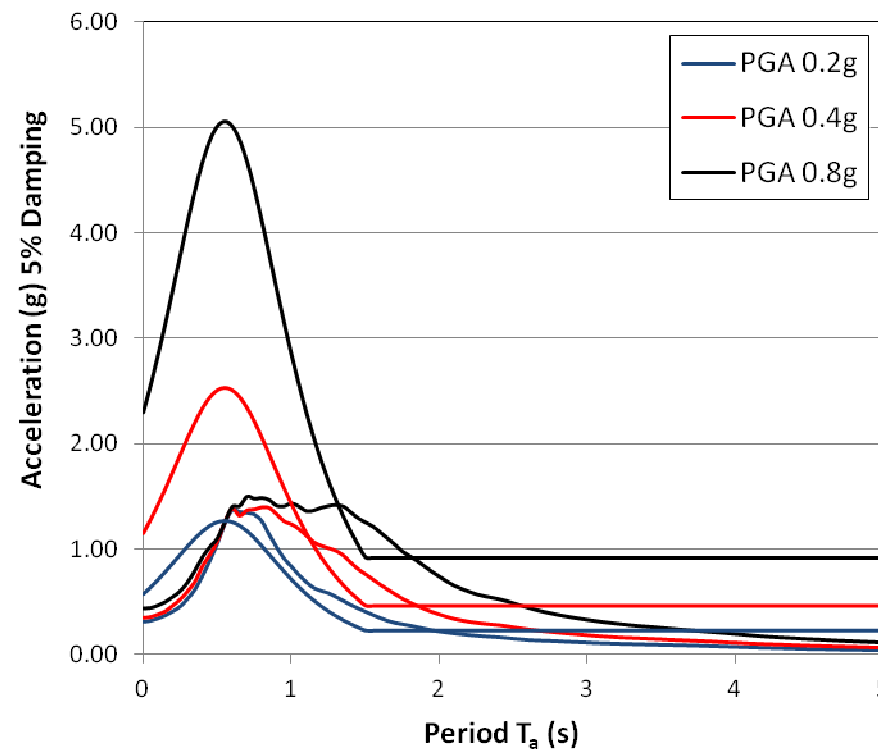
Peak floor acceleration (PFA) = 0.44g

Peak spectral acceleration (PSA) = 1.49 g

Maximum ductility = 9.8

# NLTH results vs EC8 predictions

	PGA 0.2 g			PGA 0.4g				PGA 0.8g		
	NLTH	EC8	Error	NLTH	EC8	Error		NLTH	EC8	Error
PFA	0.31	0.57	84%	0.35	1.15	228%		0.44	2.3	422%
PSA	1.25	1.27	1.6%	1.4	2.53	80%		1.49	5.06	239%



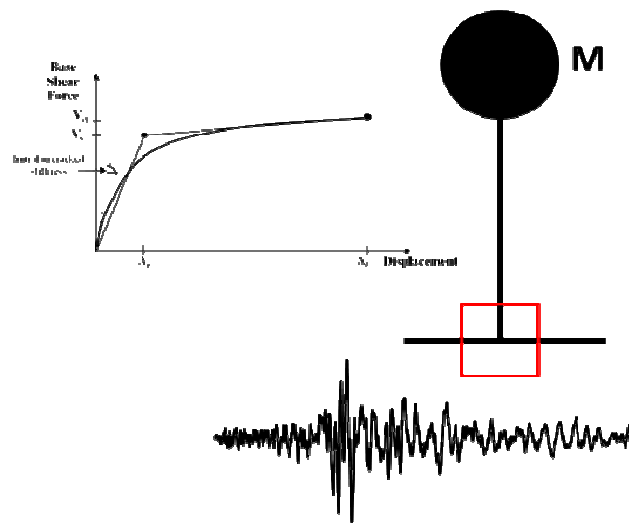
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# Main phenomena developing with nonlinearity of the supporting system

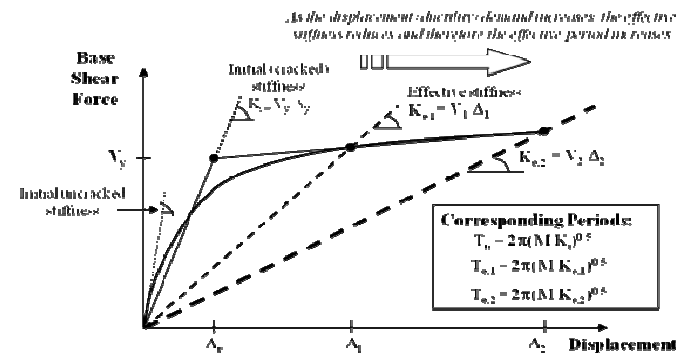
- Peak floor acceleration does not increase along with the earthquake intensity
- Peak spectral accelerations develop into a “plateau” whose extension is a function of the degree of nonlinearity experienced by the main system
- $DAF_{max}$  slightly decreases as the PGA grows (larger ductility is experienced by the main system)

→ Neglected aspect

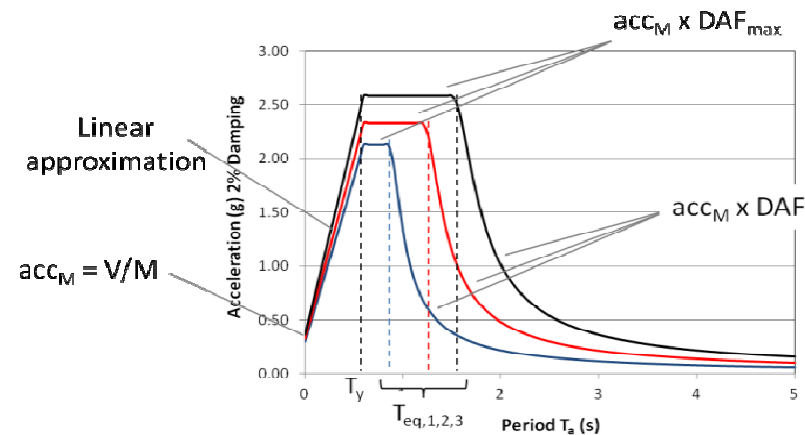
# Floor spectra construction: 3 steps procedure



a) Main system is designed in line with any of the allowed code approaches



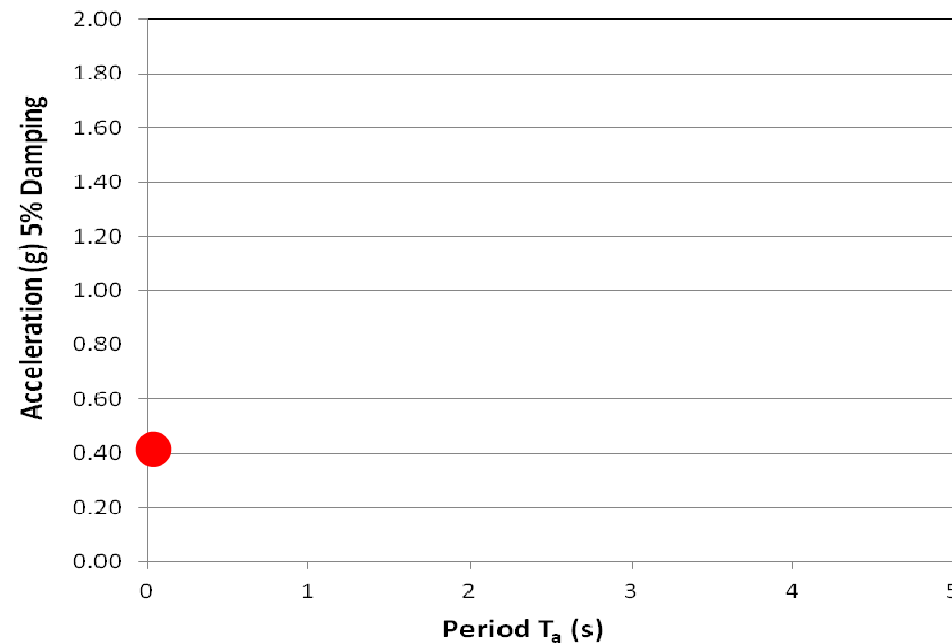
b) Design EQ level for seismic design of components is established. Main system performance is estimated accordingly.



c) Floor response spectra are constructed accordingly to the information obtained at b) and in line with equation 10

# “Inelastic” floor spectra construction

$$a_{\max} = \frac{V_b}{M} = \frac{V_y[1+r(\mu-1)]}{M}$$



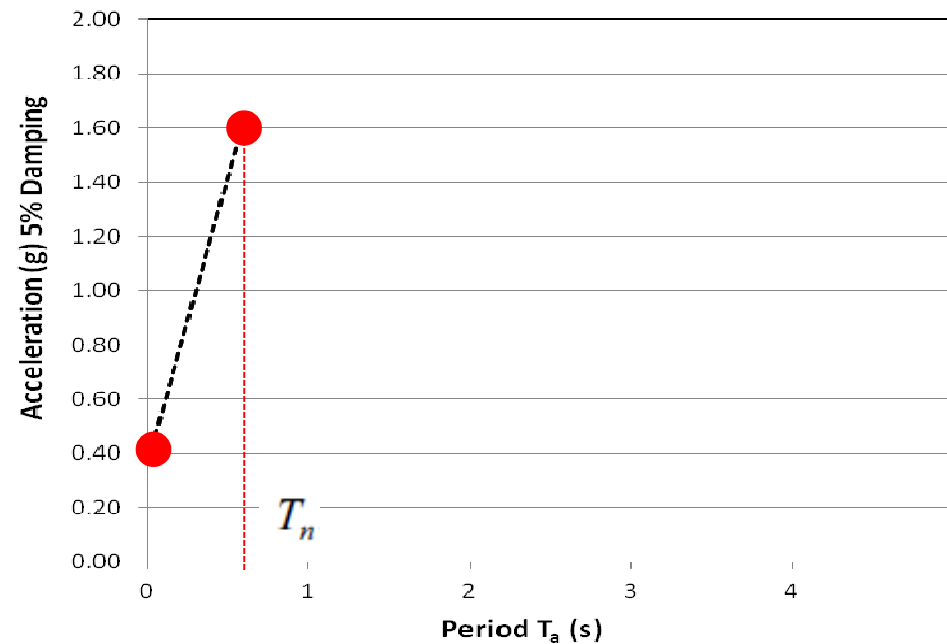
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# “Inelastic” floor spectra construction

$$a_{\max} = \frac{V_b}{M} = \frac{V_y[1+r(\mu-1)]}{M}$$

$$a_m = \frac{T_a}{T_y} \cdot [a_{\max}(\text{DAF}_{\max} - 1)] + a_{\max} \quad \text{for } T_a < T_n$$



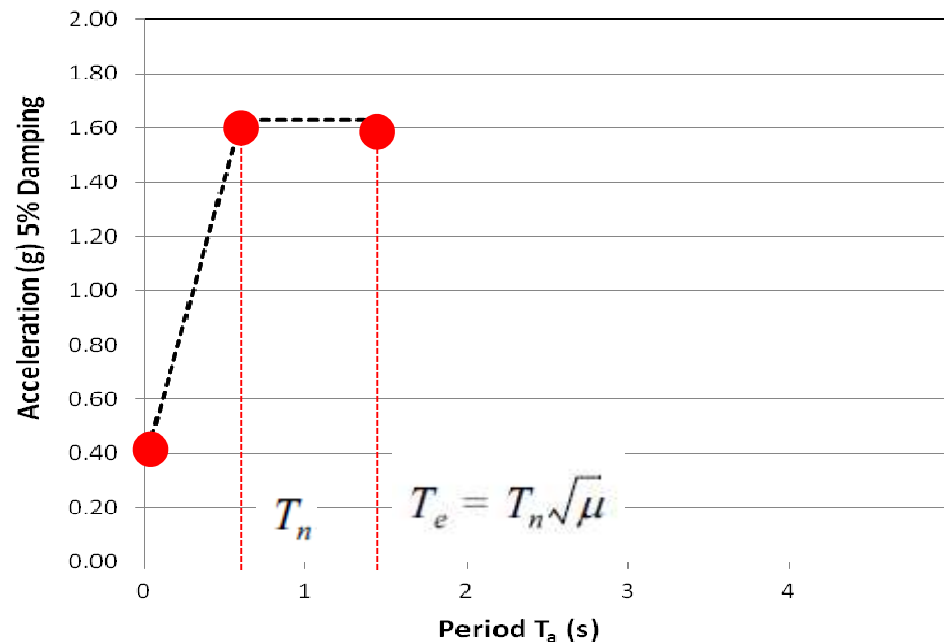
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# “Inelastic” floor spectra construction

$$a_{\max} = \frac{V_b}{M} = \frac{V_y[1+r(\mu-1)]}{M}$$

$$a_m = \frac{T_a}{T_y} \cdot [a_{\max}(\text{DAF}_{\max} - 1)] + a_{\max} \quad \text{for } T_a < T_n$$

$$a_m = a_{\max} \text{DAF}_{\max} \quad \text{for } T_n \leq T_a \leq T_e$$



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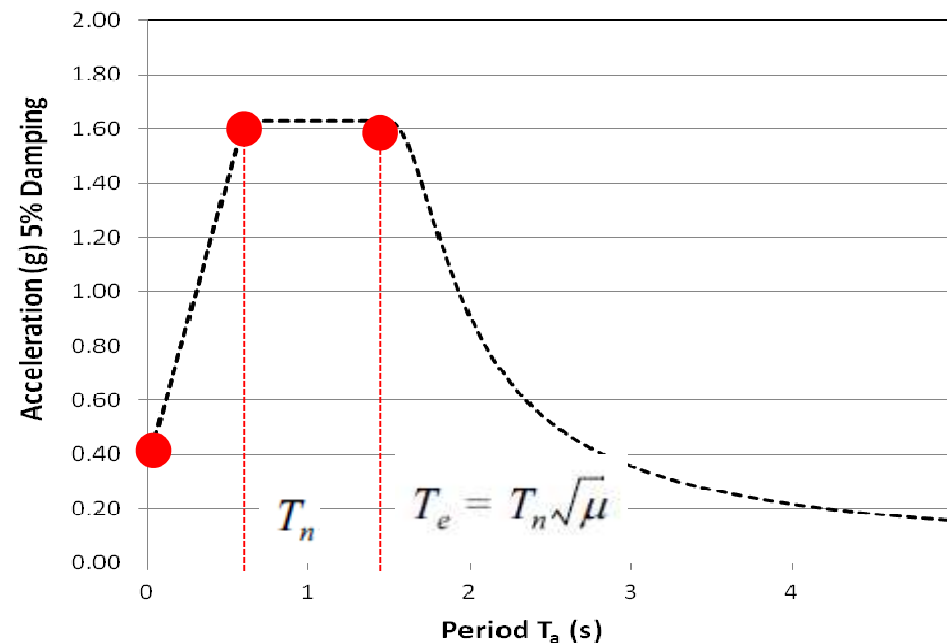
# “Inelastic” floor spectra construction

$$a_{\max} = \frac{V_b}{M} = \frac{V_y[1+r(\mu-1)]}{M}$$

$$a_m = \frac{T_a}{T_y} \cdot [a_{\max}(\text{DAF}_{\max} - 1)] + a_{\max} \quad \text{for } T_a < T_n$$

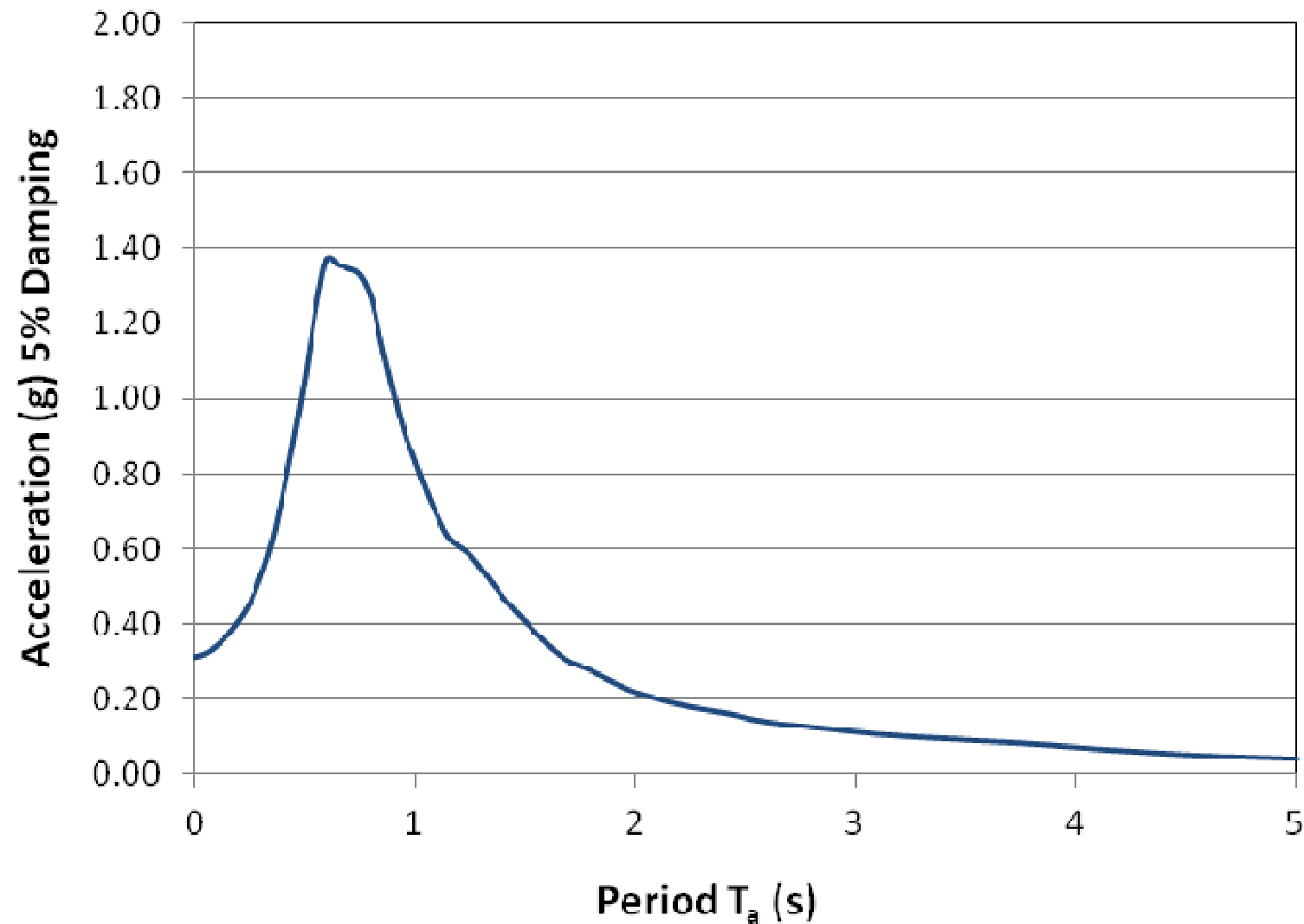
$$a_m = a_{\max} \text{DAF}_{\max} \quad \text{for } T_n \leq T_a \leq T_e$$

$$a_m = a_{\max} \text{DAF} \quad \text{for } T_a > T_e$$

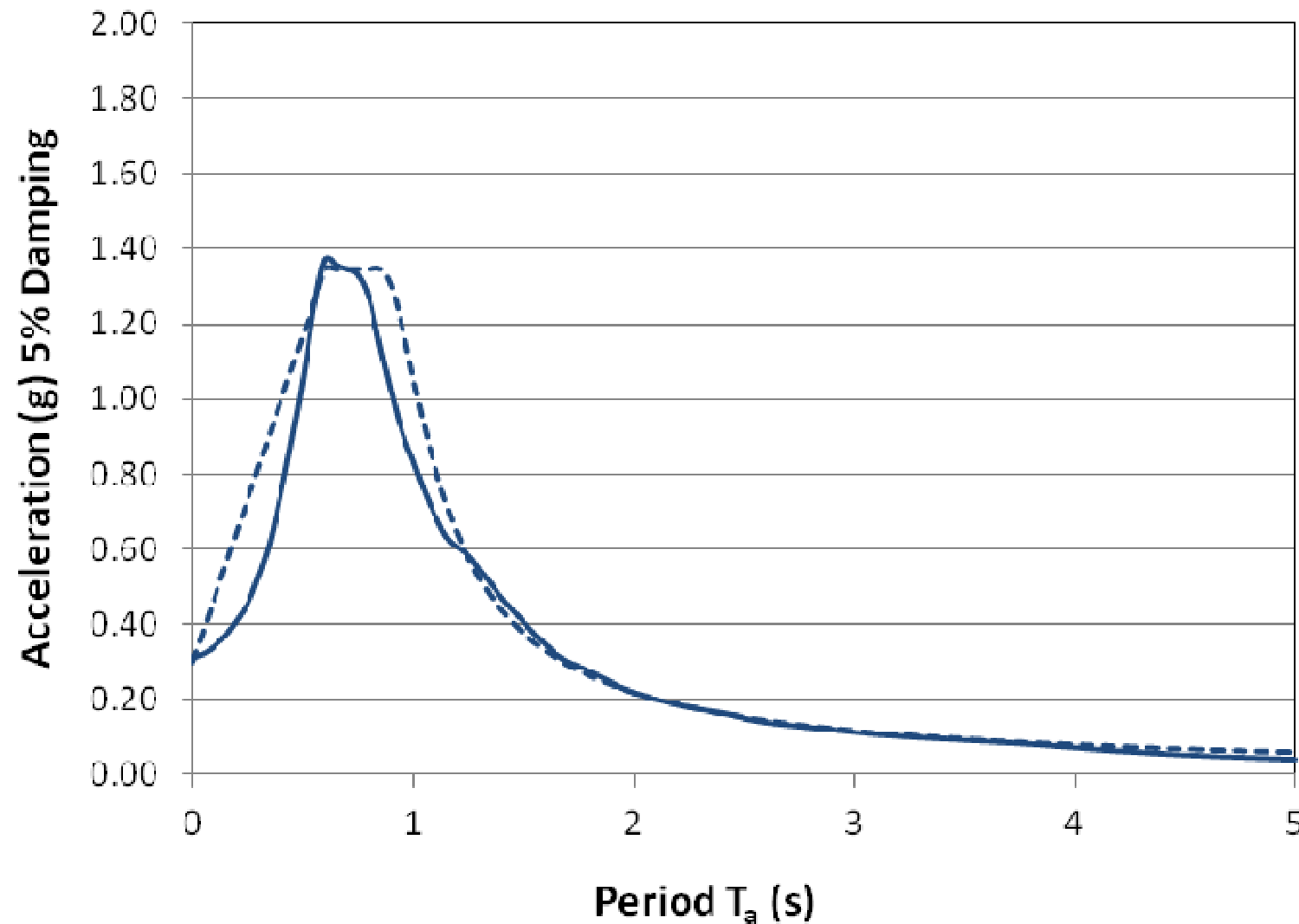


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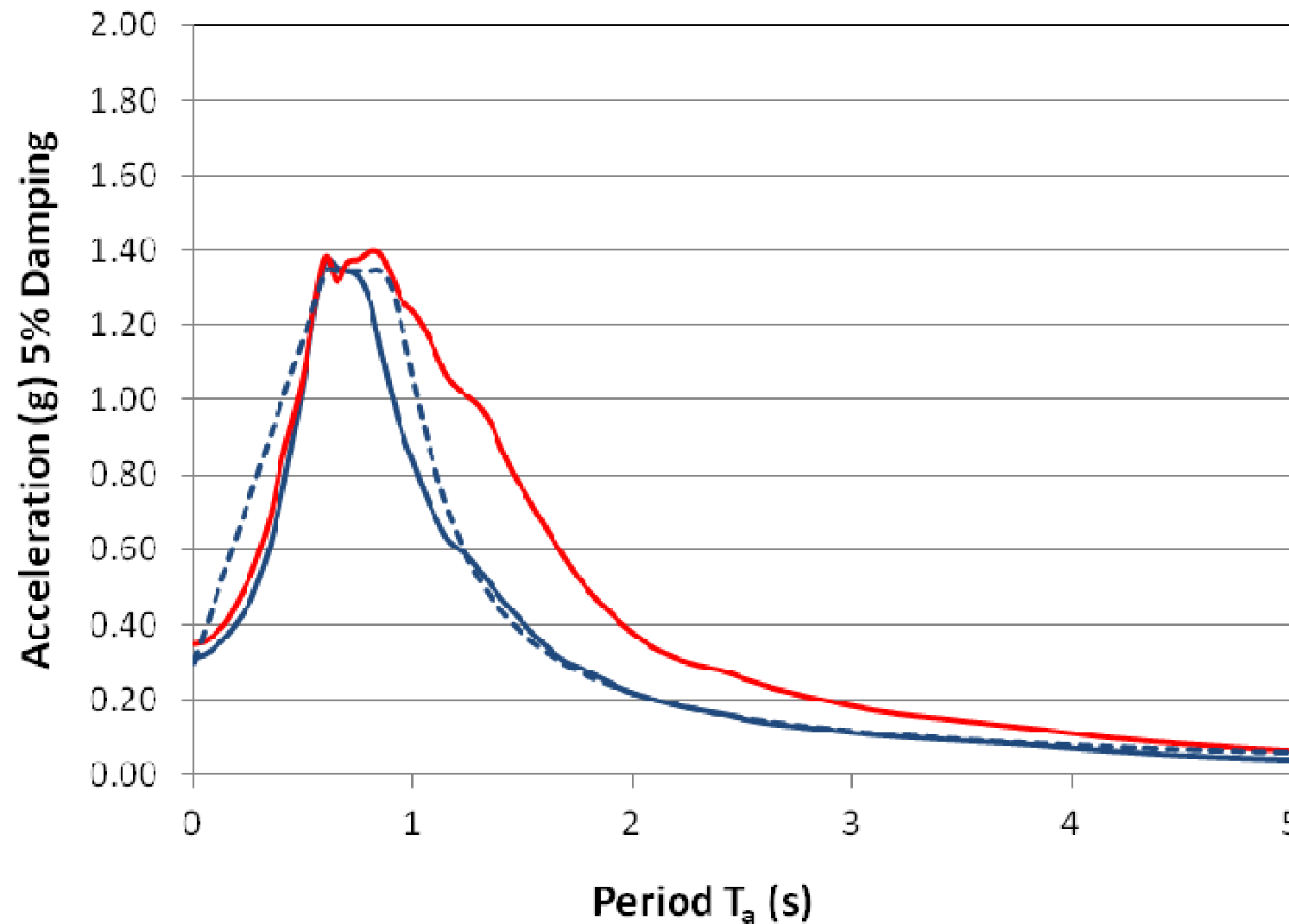
# NLTH Analysis vs proposed approach:



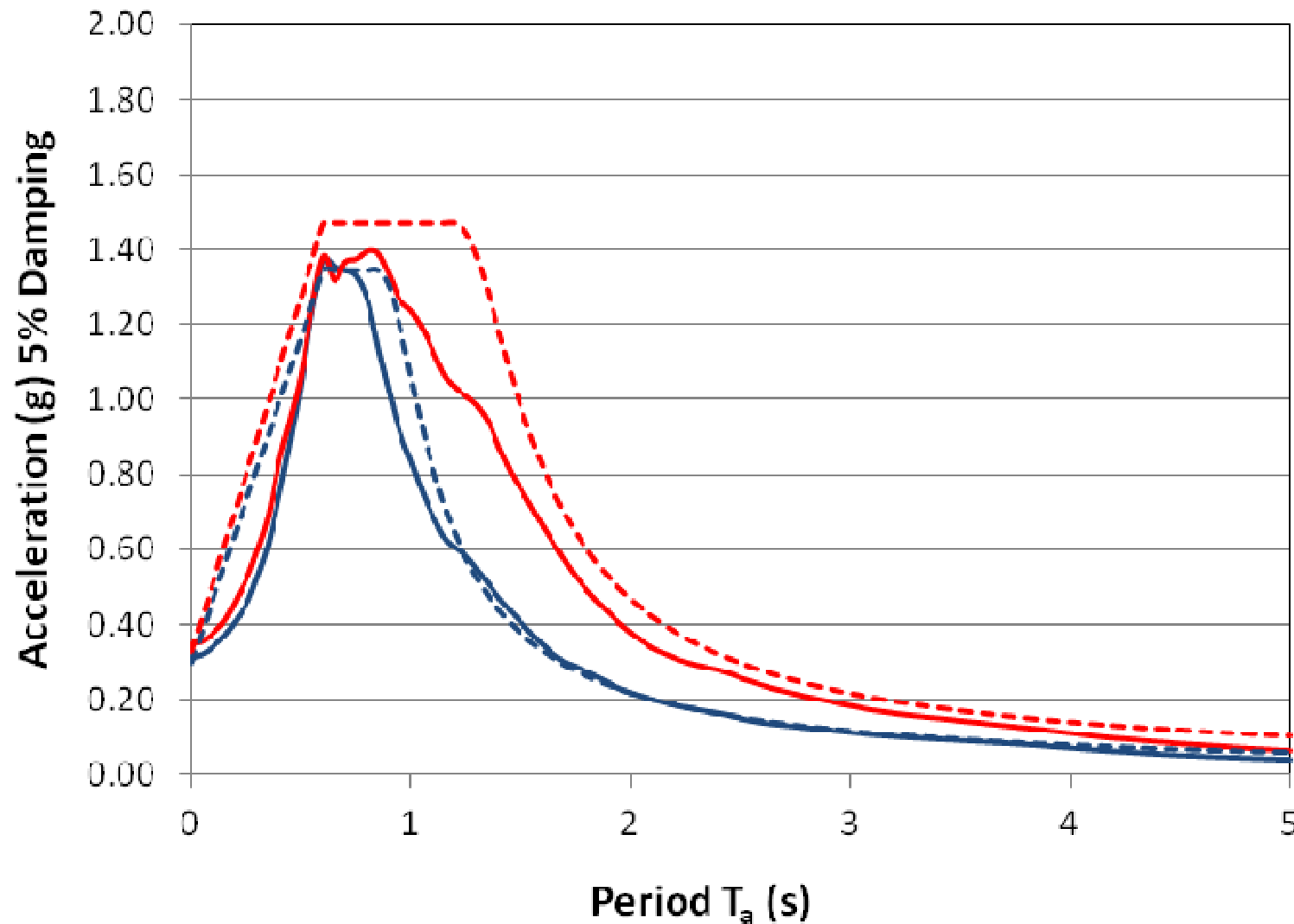
# NLTH Analysis vs proposed approach:



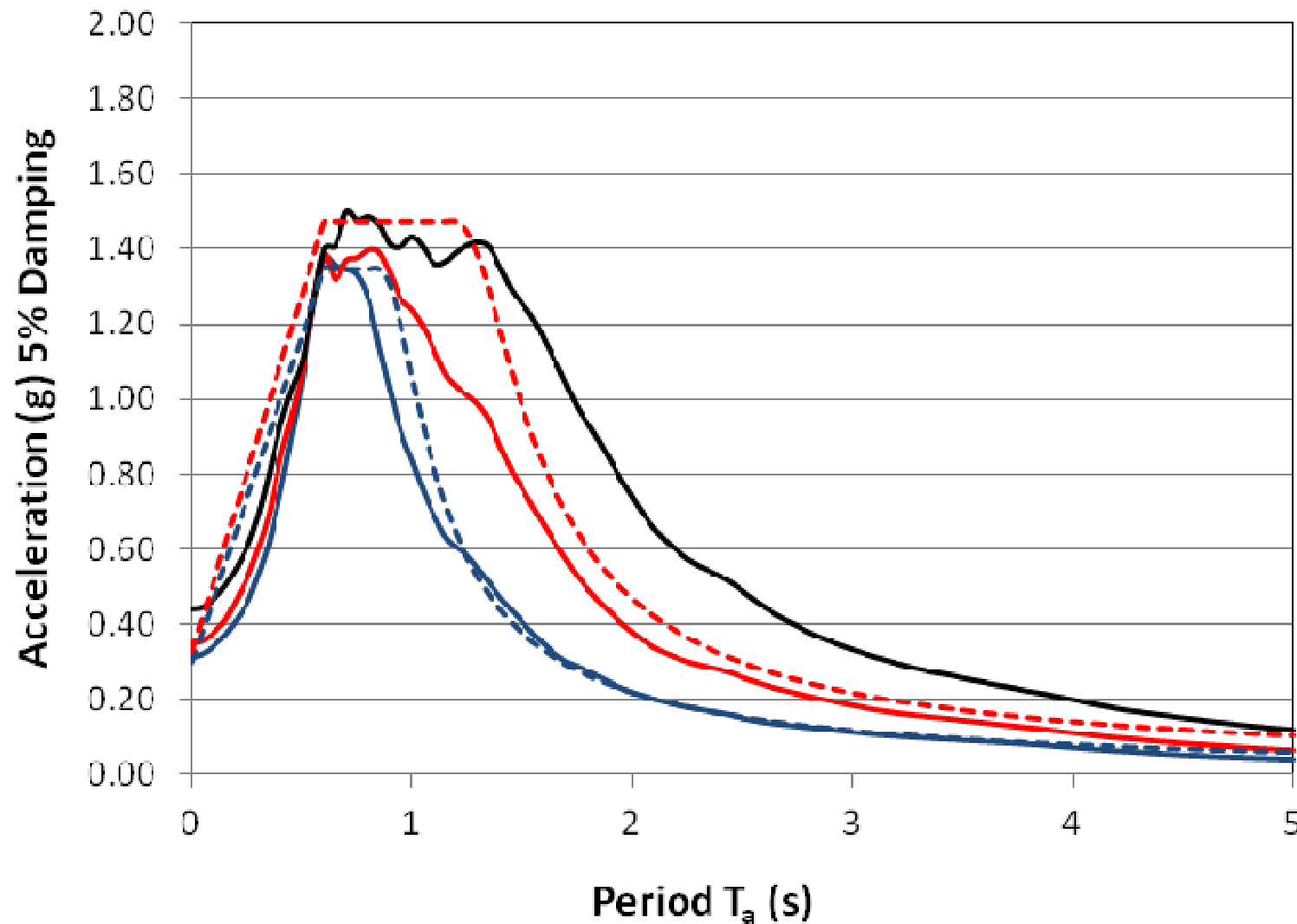
# NLTH Analysis vs proposed approach:



# NLTH Analysis vs proposed approach:

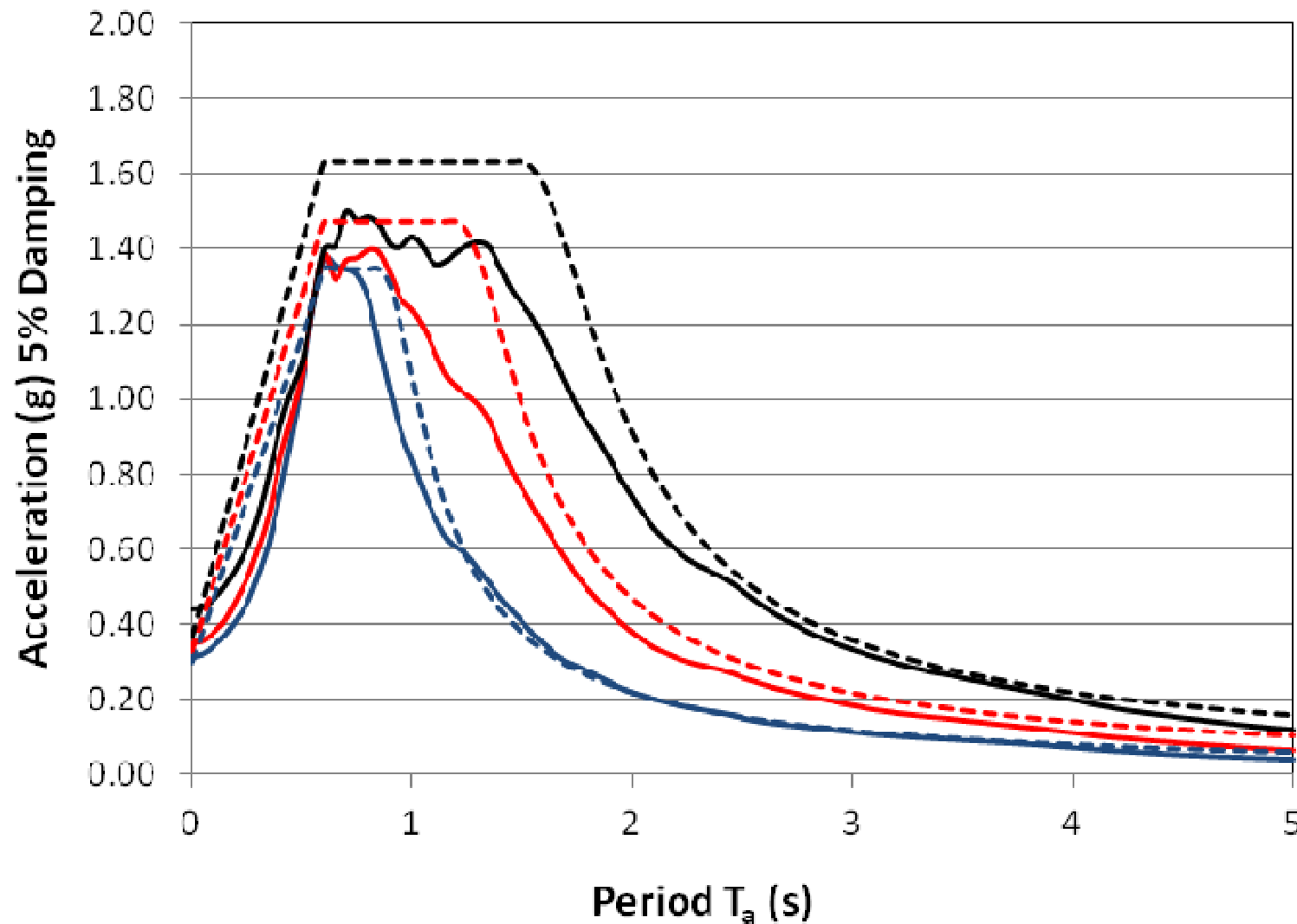


# NLTH Analysis vs proposed approach:





# NLTH Analysis vs proposed approach:



- In 1908, Professor Modesto Panetti from the University of Turin wrote:
  - ...the effects of earthquakes on **structures** are in fact a structural dynamics problem, which is much too complicated to address...
- So far, the earthquake engineering community believes that:
  - ...the effects of earthquakes on **nonstructural elements** are in fact a structural dynamics problem, which is much too complicated to address...
- Today, we have the tools to address this problem for nonstructural elements. We need the motivation to do it.